

Rashba proximity states in superconducting tunnel junctions

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Received January 15, 2018, published online April 25, 2018

We consider a new kind of superconducting proximity effect created by the tunneling of “spin split” Cooper pairs between two conventional superconductors connected by a normal conductor containing a quantum dot. The difference compared to the usual superconducting proximity effect is that the spin states of the tunneling Cooper pairs are split into singlet and triplet components by the electron spin-orbit coupling, which is assumed to be active in the normal conductor only. We demonstrate that the supercurrent carried by the spin-split Cooper pairs can be manipulated both mechanically and electrically for strengths of the spin-orbit coupling that can realistically be achieved by electrostatic gates.

PACS: 72.25.Hg Electrical injection of spin polarized carriers;
72.25.Rb Spin relaxation and scattering.

Keywords: spin-orbit interaction, Rashba spin splitter, Josephson effect, electric weak link.

1. Introduction

The prominent role that the electronic spin plays in determining the properties of solid-state devices has been at the forefront of experimental and theoretical research during the last decade. The topological surface electronic states, that are formed due to a strong spin-orbit coupling, with their vast potential for quantum computations [1,2] and spintronic applications [3] of spin-polarized currents, are just a few conspicuous examples. Conducting nanostructures, e.g., quantum dots, nanowires and nanorings, where the mesoscopic behavior of the electrons is dominated by Coulomb correlations and quantum-phase coherence, are by now the tools of choice for studying spin-related phenomena, in particular effects induced by the spin-orbit coupling. Composite mesoscopic structures comprising such nanometer-sized elements are currently of considerable interest due to their applicability in quantum communication systems [4–6]. The hope is to provide a coherent platform for flying qubits: moving two-state spinors, which may represent the electronic spin [7] or any other pseudo-spin state, e.g., of particles moving in two coupled wires [8].

Clearly, spin-state decoherence is detrimental to spintronics applications involving, e.g., flying qubits. Reducing the scattering rate of spin-polarized electrons in order to pre-

serve spin coherence is therefore essential, and is the reason why using superconducting materials have been considered. However, while electron transport in a superconductor is indeed fully coherent, the supercurrent carried by spin-singlet Cooper pairs in a conventional superconductor conducts charge but not spin. If, on the other hand, the Cooper pairs could be spin polarized it would mean that a coherent, dissipationless spin current could be generated. Hence, it is highly desirable to find methods for generating spin-polarized Cooper pairs. Recently, such a method — involving the creation of spin-polarized Cooper pairs in superconducting weak links made of materials with a strong spin-orbit interaction (SOI) — was proposed. It was shown that the spin-structure of the Cooper pairs, injected into a non-superconducting material in which the spin-orbit interaction is significant, can be “predesigned” in such a way that a net electronic spin-polarization is carried through an SOI-active weak link that connects the superconducting leads. The physics behind this phenomenon is the splitting of the transferred electronic states within the weak link with respect to spin — the so-called “Rashba spin-splitting” [9]. As a consequence of this spin splitting, the electronic spin experiences quantum fluctuations that lead to a “triplet-channel” for Cooper-pair transport through the link.

The ability to inject electrons paired in a spin-triplet state into a conventional BCS superconductor from an SOI-active superconducting weak link, opens a route to all kinds of spintronics applications that can be implemented by using a dissipationless spin current. However, the appearance of spin-triplet Cooper pairs in a conventional BCS superconductor is a so-called proximity effect, and spin-polarized Cooper pairs are present only in the vicinity of the weak link. In addition, the triplet states are vulnerable to any spin-relaxation mechanism in the superconductor. A clever composite device-design is therefore required to allow for the accumulation of paired electrons with a non-zero net spin, while significantly blocking their spin relaxation.

In the present paper we suggest such a design, and propose a new type of a superconducting weak link in which Rashba spin-split states involving pairs of time-reversed (“Cooper pair”) states can be established through the proximity effect. The generic component of the device is a quantum dot coupled to two superconductors through SOI-active weak links in the form of nanowires, as illustrated in Fig. 1. A significant advantage of the device is its relatively low spin relaxation rate — a well-known property of quantum dots [10–14]. The extent to which spin is accumulated on the dot can be controlled by electric fields that modify the SOI strength [15–18]. Another handle on the device is the possibility to tune mechanically the physical location of the dot, and thus affect the amount of tunneling between the two reservoirs [19,20].

The paper is organized as follows. Section 2 introduces the Hamiltonian of our model and details the calculation of the transmission of Cooper pairs between two superconductors connected by a weak link on which the transferred electrons are subjected to a spin-orbit interaction. We include in the calculation two important effects. (i) The SOI on the left wire can differ from the one on the right wire (see Fig. 1), both in strength and in the direction of the effective magnetic field that characterizes this interaction. (ii) The passage of a Cooper pair through the weak link can take place either by sequential tunneling, during which the two electrons tunnel one by one and the dot is at most singly occupied, or by events in which the two electrons happen to reside simultaneously on the dot during the tunnel-

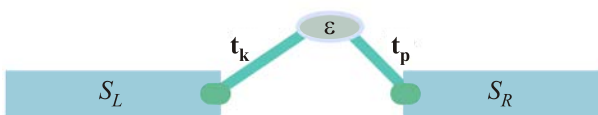


Fig. 1. (Color online) Spin-orbit active superconducting weak-link. The quantum dot is represented by a single localized level of energy ϵ , and the superconducting reservoirs are denoted S_L and S_R . The tunneling amplitude (a matrix in spin space) between the dot and the left (right) lead is denoted t_k (t_p).

ing. In the latter case, one has to account for the Coulomb interaction on the dot. Obviously these two processes contribute disparately to the transport. We dwell on the two separate contributions and their dependence on the geometry of the junction in Sec. 3. Since we consider in Sec. 2 the transfer of Cooper pairs, in which the two electrons are in *time-reversed states*, we need to construct the relation between the (spin-dependent) tunneling amplitudes in these two states. This task is accomplished in Appendix A. The transmission of Cooper pairs is analyzed by studying the *equilibrium* Josephson current between the two reservoirs. In particular, we analyze the manner by which the spins of the tunneling electrons precess as they pass through the weak link. Technical details of this calculation are relegated to Appendix B.

Section 3 presents the results. We derive there explicit expressions for the spin-precession factor of each of the two processes alluded to above, and explain the way the disparity between the two reflects the coherence of the sequential single-electron tunneling process, and the incoherence of the double-electron one, during which the dot is doubly occupied. We also analyze there the dependence of the Cooper pairs’ transmission on the relative angle between the two directions of the SOI’s on the two wires. Our conclusions are discussed in Sec. 4.

2. Tunneling of Cooper pairs

2.1. Description of the model

The spin splitting of electrons that flow through a weak link in which the Rashba [21,22] spin-orbit interaction is active, can be understood within a semiclassical picture. As the electrons pass through the weak link, their spins precess around an effective magnetic field associated with the SOI. This spin dynamics splits the electron wave function into different spin states and yields a certain probability, which can be controlled externally, for the spins to be flipped as they emerge from the weak link [23]. The spin-splitting phenomenon becomes more complicated when the transmission of a pair of electrons in two *time-reversed states* through an SOI-active weak link is considered. In that case there are two types of tunneling events, those where the electrons are transferred one by one sequentially, and those in which the dot is doubly occupied during the tunneling. This is taken into account in our model by representing the quantum dot as a single localized level of energy ϵ which can accommodate two electrons in two spin states (“up” and “down”). In our simple model the reservoirs that supply the electrons are two bulk BCS superconductors; these are coupled together by a nanowire on which the quantum dot is located. When on the dot, the spin state of one electron of the Cooper pair is projected on the spin-up state of the dot, and that of the other on the spin-down state [24]. We find that the projection breaks the coherent evolution of the spin states. The Pauli princi-

ple is assumed to be effective only on the quantum dot; elsewhere the passage of the electrons in and out of the dot is viewed as a single-electron tunneling event, whose amplitude includes the electronic spin precession [25].

The Hamiltonian of the junction illustrated in Fig. 1 reads

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_{\text{tun}}. \quad (1)$$

The Hamiltonian \mathcal{H}_0 pertains to the decoupled system, and includes the Hamiltonian of the quantum dot and that of the superconducting leads,

$$\mathcal{H}_0 = \sum_{\sigma} \varepsilon d_{\sigma}^{\dagger} d_{\sigma} + U d_{\uparrow}^{\dagger} d_{\uparrow} d_{\downarrow}^{\dagger} d_{\downarrow} + \sum_{\alpha=L,R} \mathcal{H}_{\text{lead}}^{\alpha}. \quad (2)$$

The operator d_{σ} (d_{σ}^{\dagger}) annihilates (creates) an electron in the spin state $|\sigma\rangle$ on the dot, and U denotes the Coulomb repulsion. The BCS leads are described by the annihilation (creation) operators of the electrons there, $c_{\mathbf{k}(\mathbf{p})\sigma}$ ($c_{\mathbf{k}(\mathbf{p})\sigma}^{\dagger}$). [$\mathbf{k}(\mathbf{p})$ enumerates the single-particle orbital states on the left (right) lead.] Denoting by $\varepsilon_{k(p)}$ the single-electron energy measured relative to the common chemical potential of the device, the Hamiltonian of the leads is

$$\begin{aligned} \mathcal{H}_{\text{lead}}^{\alpha=L(R)} = & \sum_{\mathbf{k}(\mathbf{p}),\sigma} \varepsilon_{k(p)} c_{\mathbf{k}(\mathbf{p})\sigma}^{\dagger} c_{\mathbf{k}(\mathbf{p})\sigma} - \\ & - \Delta_{L(R)} \sum_{\mathbf{k}(\mathbf{p})} (e^{i\varphi_{L(R)}} c_{\mathbf{k}(\mathbf{p})\uparrow}^{\dagger} c_{-\mathbf{k}(-\mathbf{p})\downarrow}^{\dagger} + \text{H.c.}), \end{aligned} \quad (3)$$

where $\Delta_{L(R)}$ and $\varphi_{L(R)}$ are the amplitude and the phase of the superconducting order parameters.

The tunneling Hamiltonian is the key component of our model,

$$\mathcal{H}_{\text{tun}} = \mathcal{H}_{LD} + \mathcal{H}_{RD} + \text{H.c.}, \quad (4)$$

where

$$\mathcal{H}_{L(R)D} = \sum_{\mathbf{k}(\mathbf{p}),\sigma,\sigma'} c_{\mathbf{k}(\mathbf{p})\sigma}^{\dagger} [\mathbf{t}_{\mathbf{k}(\mathbf{p})}^{L(R)D}]_{\sigma\sigma'} d_{\sigma'}. \quad (5)$$

The probability amplitude for the transfer of an electron from the spin state $|\sigma'\rangle$ on the dot to the state $|\mathbf{k}(\mathbf{p}),\sigma\rangle$ in the left (right) reservoir is $[\mathbf{t}_{\mathbf{k}(\mathbf{p})}^{L(R)D}]_{\sigma\sigma'}$, which allows for spin flips during the tunneling. This amplitude is conveniently separated into a (scalar) orbital amplitude, and a unitary matrix (in spin space), denoted \mathbf{W} , that contains the effects of the SOI (whether of the Rashba [21,22] or the Dresselhaus [26] type), and also the dependence on the spatial direction of the SOI-active wire. The spin-orbit interaction associated with strains is briefly mentioned in Sec. 3. For the linear SOI [9], the tunneling amplitude can be presented in the form

$$\mathbf{t}_{\mathbf{k}}^{L(R)D} = i t_{L(R)} e^{-ik_F d_{L(R)}} \mathbf{W}^{L(R)D}, \quad (6)$$

where k_F is the Fermi wave vector in the leads, and $d_{L(R)}$ is the length of the bond between the left (right) lead and the dot. The generic form of \mathbf{W} is [27,28]

$$\mathbf{W}^{L(R)D} = a_{L(R)} + i\boldsymbol{\sigma} \cdot \mathbf{b}_{L(R)}, \quad (7)$$

where $a_{L(R)}$ is a real scalar and $\mathbf{b}_{L(R)}$ is a real vector (determined by the symmetry axis of the SOI and the spatial direction of the weak link), with $a_{L(R)}^2 + \mathbf{b}_{L(R)} \cdot \mathbf{b}_{L(R)} = 1$ ($\boldsymbol{\sigma}$ is the vector of the Pauli matrices). In the absence of the spin-orbit interaction $\mathbf{W}^{L(R)}$ is just the unit matrix, namely, $a_{L(R)} = 1$ and $\mathbf{b}_{L(R)} = 0$. Explicit expressions for the spin-orbit coupling are discussed in Sec. 3.

Since the two electrons of a Cooper pair are in two states connected by the time-reversal transformation, one has to consider also the tunneling amplitude between the time-reversed states of $|\sigma'\rangle$ and $|\mathbf{k}(\mathbf{p}),\sigma\rangle$, which we denote by an overline, i.e., $|\overline{\sigma'}\rangle \equiv (i\sigma_y)|\sigma'\rangle$ and $|\overline{-\mathbf{k}(-\mathbf{p}),\overline{\sigma}}\rangle$. The corresponding amplitude, $[\overline{\mathbf{t}}_{\mathbf{k}(\mathbf{p})}^{L(R)D}]_{\overline{\sigma}\sigma'}$, describes the transfer of an electron from the spin state $|\overline{\sigma'}\rangle$ on the dot to the state $|\overline{-\mathbf{k}(-\mathbf{p}),\overline{\sigma}}\rangle$ in the left (right) lead. It is given by

$$\overline{\mathbf{t}}_{\mathbf{k}(\mathbf{p})}^{L(R)D} \equiv \hat{\mathbf{T}} \mathbf{t}_{\mathbf{k}(\mathbf{p})}^{L(R)D} \mathbf{T}^{-1}; \quad \hat{\mathbf{T}} = K(i\sigma_y), \quad (8)$$

(σ_y is a Pauli matrix, and K is the complex conjugation operator). We derive in Appendix A the relation

$$[\overline{\mathbf{t}}_{\mathbf{k}(\mathbf{p})}^{L(R)D}]_{\overline{\sigma}\sigma'} = [(\mathbf{t}_{\mathbf{k}(\mathbf{p})}^{L(R)D})^*]_{\sigma\sigma'}, \quad (9)$$

which is of paramount importance in our considerations.

2.2. The particle current

The flow of electrons between the two superconductors is analyzed by studying the equilibrium Josephson current, i.e., the rate by which electrons leave the left (or the right) superconductor, when the chemical potentials of the two reservoirs are identical (and therefore a flow of quasiparticles is prohibited by the gap in the quasiparticle density of states in the superconducting leads).

Using units in which $\hbar = 1$, this rate is

$$J = -(d/dt) \langle \sum_{\mathbf{k},\sigma} c_{\mathbf{k}\sigma}^{\dagger} c_{\mathbf{k}\sigma} \rangle = -2\text{Im} \langle \mathcal{H}_{LD}(t) \rangle, \quad (10)$$

where the angular brackets denote quantum averaging. It is evaluated using the S -matrix,

$$\langle \mathcal{H}_{LD}(t) \rangle = \langle S^{-1}(t, -\infty) \mathcal{H}_{LD}(t) S(t, -\infty) \rangle, \quad (11)$$

with $\mathcal{H}_{LD}(t) = \exp[i\mathcal{H}_0 t] \mathcal{H}_{LD} \exp[-i\mathcal{H}_0 t]$, and the quantum average is with respect to \mathcal{H}_0 . As the leading-order contribution to J is fourth-order in the tunneling Hamiltonian, it is found from the expansion up to third order of the S -matrix [29],

$$\begin{aligned}
 S(t, -\infty) = & 1 - i \int_{-\infty}^t dt_1 \mathcal{H}_{\text{tun}}(t_1) - \int_{-\infty}^t dt_1 \int_{-\infty}^{t_1} dt_2 \mathcal{H}_{\text{tun}}(t_1) \mathcal{H}_{\text{tun}}(t_2) + \\
 & + i \int_{-\infty}^t dt_1 \int_{-\infty}^{t_1} dt_2 \int_{-\infty}^{t_2} dt_3 \mathcal{H}_{\text{tun}}(t_1) \mathcal{H}_{\text{tun}}(t_2) \mathcal{H}_{\text{tun}}(t_3).
 \end{aligned} \quad (12)$$

The energy level on the dot is assumed to lie well above the chemical potential of the leads, and thus the small parameter of the expansion is Γ/ε , where $\Gamma = \Gamma_L + \Gamma_R$ is the total width of the resonance level created on the dot due to the coupling with the bulk reservoirs (each coupling induces a partial width $\Gamma_{L(R)}$, see Appendix B). This implies that the perturbation expansion is carried out on a dot which is empty when decoupled [30]. We list and discuss the relevant terms in the expansion of J in Appendix B.

As mentioned, the total Josephson current in our junction is due to the two processes available for the tunneling pairs. In the first, whose contribution is denoted J^s , double occupancy on the dot does not occur, and the transfer of the electron pair is accomplished by a sequential tunneling of the paired electrons one by one. In the second process that contributes J^d , the dot is doubly occupied during the tunneling. The (lengthy but straightforward) calculation presented in Appendix B yields

$$J = J^s + J^d, \quad (13)$$

where

$$\begin{aligned}
 J^s &= I_0 F^s(\varepsilon/\Delta) \mathcal{A}^s, \\
 J^d &= 2I_0 F^d(\varepsilon/\Delta, U/\Delta) \mathcal{A}^d.
 \end{aligned} \quad (14)$$

These results are obtained, for simplicity, in the zero-temperature limit, and for $\Delta_L = \Delta_R \equiv \Delta$. The common factor I_0 in the expressions for J^s and J^d is the Josephson amplitude of the interface between the two superconductors (i.e., when the localized level on the dot as well as the SOI are ignored), $I_0 = 2\sin(\varphi_R - \varphi_L)[\Gamma_L \Gamma_R / \Delta]$. The disparity of the two tunneling processes comes into play in the other two factors in Eqs. (14). The functions F^s and F^d [31], given in Eqs. (B5) and (B9) and reproduced here for convenience,

$$\begin{aligned}
 F^s(\tilde{\varepsilon}) &= \int_{-\infty}^{\infty} \frac{d\zeta_k}{\pi} \int_{-\infty}^{\infty} \frac{d\zeta_p}{\pi} \frac{1}{\cosh \zeta_k + \tilde{\varepsilon}} \times \\
 &\times \frac{1}{\cosh \zeta_k + \cosh \zeta_p} \frac{1}{\cosh \zeta_p + \tilde{\varepsilon}}, \quad \tilde{\varepsilon} = \frac{\varepsilon}{\Delta},
 \end{aligned} \quad (15)$$

and

$$\begin{aligned}
 F^d(\tilde{\varepsilon}, \tilde{U}) &= \int_{-\infty}^{\infty} \frac{d\zeta_k}{\pi} \int_{-\infty}^{\infty} \frac{d\zeta_p}{\pi} \frac{1}{\cosh \zeta_k + \tilde{\varepsilon}} \times \\
 &\times \frac{1}{2\tilde{\varepsilon} + \tilde{U}} \frac{1}{\cosh \zeta_p + \tilde{\varepsilon}}, \quad \tilde{\varepsilon} = \frac{\varepsilon}{\Delta}, \quad \tilde{U} = \frac{U}{\Delta},
 \end{aligned} \quad (16)$$

convey the effect of the resonance on the dot, and the Coulomb repulsion there. As seen, the Pauli principle tends to reduce the contribution of the second tunneling process. The last factors, \mathcal{A}^s and \mathcal{A}^d , describe the amount of spin precession. Their detailed analysis is the topic of the next subsection. These two factors become 1 in the absence of the SOI. It follows that in the absence of the SOI, the Josephson current of our junction, J_0 , is

$$J_0 = I_0 [F^s(\varepsilon/\Delta) + 2F^d(\varepsilon/\Delta, U/\Delta)]. \quad (17)$$

The normalized Josephson current, i.e., J of Eq. (13) divided by J_0 , is

$$\frac{J}{J_0} = \frac{F^s(\varepsilon/\Delta) \mathcal{A}^s + 2F^d(\varepsilon/\Delta, U/\Delta) \mathcal{A}^d}{F^s(\varepsilon/\Delta) + 2F^d(\varepsilon/\Delta, U/\Delta)}. \quad (18)$$

2.3. Spin precession

In the sequential tunneling process, where the pair of electrons tunnel one by one, their spin-precession factor is

$$\begin{aligned}
 \mathcal{A}^s &= \frac{1}{2} \sum_{\sigma, \sigma'} \sum_{\sigma_L, \sigma_R} \text{sgn}(\sigma_L) \text{sgn}(\sigma_R) \times \\
 &\times [(\mathbf{W}^{LD})^*]_{\sigma_L \sigma'} [\mathbf{W}^{LD}]_{\sigma_L \sigma} [(\mathbf{W}^{DR})^*]_{\sigma' \sigma_R} [\mathbf{W}^{DR}]_{\sigma \sigma_R},
 \end{aligned} \quad (19)$$

while the spin-precession factor of the tunneling process during which the dot is doubly occupied is

$$\begin{aligned}
 \mathcal{A}^d &= \frac{1}{2} \sum_{\sigma} \sum_{\sigma_L, \sigma_R} \text{sgn}(\sigma_L) \text{sgn}(\sigma_R) \times \\
 &\times [(\mathbf{W}^{LD})^*]_{\sigma_L \sigma} [\mathbf{W}^{LD}]_{\sigma_L \sigma} [(\mathbf{W}^{DR})^*]_{\sigma \sigma_R} [\mathbf{W}^{DR}]_{\sigma \sigma_R}.
 \end{aligned} \quad (20)$$

[See the derivation in Appendix B that leads to Eqs. (B4) and (B8), reproduced in Eqs. (19) and (20) for convenience.]

It is illuminating to scrutinize the summations over the spin indices. The factor \mathcal{A}^s can be written as

$$\begin{aligned}
 \mathcal{A}^s &= \frac{1}{2} \sum_{\sigma_L, \sigma_R} \text{sgn}(\sigma_L) \text{sgn}(\sigma_R) [(\mathbf{W}^{LR})^*]_{\sigma_L \sigma_R} [\mathbf{W}^{LR}]_{\sigma_L \sigma_R} = \\
 &= |[\mathbf{W}^{LR}]_{\uparrow \uparrow}|^2 - |[\mathbf{W}^{LR}]_{\uparrow \downarrow}|^2,
 \end{aligned} \quad (21)$$

where the direct spin part of the tunneling amplitude from the right lead to left one is

$$[\mathbf{W}^{LR}]_{\sigma_L \sigma_R} = \sum_{\sigma} [\mathbf{W}^{LD}]_{\sigma_L \sigma} [\mathbf{W}^{DR}]_{\sigma \sigma_R}. \quad (22)$$

In contrast, the spin-precession factor of the double-occupancy channel cannot be expressed in terms of the direct amplitudes; we find

$$\begin{aligned}
 \mathcal{A}^d &= (|[\mathbf{W}^{LD}]_{\uparrow \uparrow}|^2 - |[\mathbf{W}^{LD}]_{\uparrow \downarrow}|^2) \times \\
 &\times (|[\mathbf{W}^{DR}]_{\uparrow \uparrow}|^2 - |[\mathbf{W}^{DR}]_{\uparrow \downarrow}|^2).
 \end{aligned} \quad (23)$$

One notes that \mathcal{A}^d , Eq. (23), is a product of two factors of the same structure as the single factor in \mathcal{A}^s , Eq. (21). Our interpretation is that \mathcal{A}^s describes the coherent transfer of a Cooper pair from the right to the left lead, while \mathcal{A}^d describes first a coherent Cooper pair transfer from the right lead to the dot, where coherence is lost, then a second coherent transfer from the dot to the left lead. Both contributions to the Josephson current, J^s and J^d [see Eqs. (13) and (14)], are suppressed to a certain extent by the spin-precession (as compared with their respective values in the absence of the SOI). Which of them is more severely affected is determined by the *geometry* of the junction and the symmetry direction of the SOI. This feature is discussed in Sec. 3.

The effect of the spin-orbit coupling on the supercurrent is embedded in the precession of the spins it induces. It is therefore natural to express the current, in particular the precession factors, in terms of orientations of the effective magnetic fields responsible for the precession in the left and right SOI-active nanowires. Indeed, upon carrying out the spin summations in Eqs. (18) and (20) *within each reservoir* [i.e., on σ_L and σ_R using Eq. (7) for \mathbf{W}], one finds that these factors can be expressed in terms of the vectors \mathbf{V}_L and \mathbf{V}_R , that represent the effective magnetic fields,

$$\begin{aligned} \sum_{\sigma_L} \text{sgn}(\sigma_L) [(\mathbf{W}^{LD})^*]_{\sigma_L \sigma'} [\mathbf{W}^{LD}]_{\sigma' \sigma} &\equiv [\boldsymbol{\sigma} \cdot \mathbf{V}_L]_{\sigma' \sigma}, \\ \sum_{\sigma_R} \text{sgn}(\sigma_R) [(\mathbf{W}^{DR})^*]_{\sigma_R \sigma'} [\mathbf{W}^{DR}]_{\sigma' \sigma} &\equiv [\boldsymbol{\sigma} \cdot \mathbf{V}_R]_{\sigma' \sigma}. \end{aligned} \quad (24)$$

The vectors $\mathbf{V}_{L(R)}$ are determined by the detailed form of the SOI, Eq. (7),

$$\begin{aligned} \mathbf{V}_{L(R)} &= 2b_{L(R)z} \mathbf{b}_{L(R)} + \\ &+ 2a_{L(R)} \mathbf{b}_{L(R)} \times \hat{\mathbf{z}} + \hat{\mathbf{z}} (1 - 2b_{L(R)}^2). \end{aligned} \quad (25)$$

Inserting Eqs. (24) into the spin-precession factors Eqs. (19) and (20), one finds

$$\begin{aligned} \mathcal{A}^s &= \mathbf{V}_L \cdot \mathbf{V}_R, \\ \mathcal{A}^d &= V_{Lz} V_{Rz}. \end{aligned} \quad (26)$$

It is thus seen that due to the Pauli exclusion principle, only the components of the vectors \mathbf{V}_L and \mathbf{V}_R that are parallel to the quantization axis on the dot contribute to the spin-precession factor arising from the tunneling process in which the dot is doubly occupied, whereas all components of these vectors participate in the spin-precession factor of the sequential transmission. We also note that the difference between the two spin-precession factors disappears when either of the vectors $\mathbf{V}_{L(R)}$ or

both are directed along z -axis (which is the situation in the absence of the SOI coupling).

3. Results

Although it is possible in principle to calculate an effective SOI *ab initio*, it is rather ubiquitous to adopt the phenomenological Hamiltonian proposed in Ref. 22. This Hamiltonian (named after Rashba) is valid for systems with a single high-symmetry axis that lack spatial inversion symmetry. For an electron of an effective mass m^* and momentum \mathbf{p} propagating along a wire where the SOI is active, it reads

$$\mathcal{H}_{so} = \frac{k_{so}^{\text{Rashba}}}{m^*} \boldsymbol{\sigma} \cdot (\mathbf{p} \times \hat{\mathbf{n}}). \quad (27)$$

Here $\hat{\mathbf{n}}$ is a unit vector along the symmetry axis (the c -axis in hexagonal wurtzite crystals, the growth direction in a semiconductor heterostructure, the direction of an external electric field), and k_{so}^{Rashba} is the strength of the SOI in units of inverse length, which is usually taken from experiments [16,18]. By exploiting the Hamiltonian (27) to find the propagator along a one-dimensional wire, one obtains that the tunneling amplitude is [19,27]

$$\mathbf{t}_{\mathbf{k}} = it_L e^{ik_F r_L} \exp[ik_{so}^{\text{Rashba}} \mathbf{r}_L \times \hat{\mathbf{n}} \cdot \boldsymbol{\sigma}], \quad (28)$$

with an analogous form for $\mathbf{t}_{\mathbf{p}}$. Here $\mathbf{r}_{L(R)}$ is the radius vector pointing from the dot to the left (right) reservoir along the wire, and k_F is the Fermi wave vector of an electron traversing this nanowire. The linear Dresselhaus SOI [26] gives rise to a comparable form for \mathcal{H}_{so} [28]. Another source for SOI's are strains, created for instance when a single flat graphene ribbon is rolled up to form a tube. This type of SOI was modeled by the Hamiltonian

$$\mathcal{H}_{so}^{\text{strain}} = \frac{k_F k_{so}^{\text{strain}}}{m^*} \boldsymbol{\sigma} \cdot \hat{\mathbf{n}}, \quad (29)$$

where k_{so}^{strain} is a phenomenological parameter that gives the strength of the SOI in units of inverse length and the unit vector $\hat{\mathbf{n}}$ points along the nanotube [14,17].

In the case of the Rashba SOI, the spin-dependent factor in the tunneling amplitude Eq. (28) can be written in the form

$$\mathbf{W} = \exp[i\tilde{\alpha} \boldsymbol{\sigma} \cdot \hat{\mathbf{v}}] = \cos(\tilde{\alpha}) + i \sin(\tilde{\alpha}) \boldsymbol{\sigma} \cdot \hat{\mathbf{v}}, \quad (30)$$

where $\tilde{\alpha}$ is proportional to the strength of the spin-orbit coupling and both $\tilde{\alpha}$ and the unit vector $\hat{\mathbf{v}}$ are determined by the symmetry direction of the SOI and the geometrical configuration of the junction: $\tilde{\alpha} = k_{so}^{\text{Rashba}} d \sin \varphi$, where d is the length of the SOI-active bridge, and φ is the angle between the wire direction and $\hat{\mathbf{n}}$, the symmetry axis of the interaction.

The parameters that characterize the spin-orbit coupling can be controlled experimentally. The capability to tune the strength of the spin-orbit interaction electrostatically was first demonstrated in Ref. 15 on inverted $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{In}_{0.52}\text{Al}_{0.48}\text{As}$ heterostructures. A more recent experimental evidence is found in Ref. 16, that describes measurements on the inversion layer of a $\text{In}_{0.75}\text{Ga}_{0.25}\text{As}/\text{In}_{0.75}\text{Al}_{0.25}\text{As}$ semiconductor heterostructure, and in Ref. 18, which reports on a dual-gated InAs/GaSb quantum well. The electrodes in these experiments are two-dimensional electron (or hole) gas bulk conductors; two gate electrodes are used to tune both the carriers' concentration and the strength of the SOI. The spin-orbit coupling is characterized by the "Rashba parameter" α_R , whose relation to k_{so}^{Rashba} is

$$k_{so}^{\text{Rashba}} = m^* \alpha_R / \hbar^2, \quad (31)$$

where α_R is measured in $[\text{meV} \cdot \text{\AA}]$ (we keep \hbar in the experimental estimations). In Ref. 16, the spin-orbit coupling constant α varied with the gate voltage between roughly 150 and 300 $\text{meV} \cdot \text{\AA}$. Attributing α mainly to the Rashba SOI parameter α_R , and using $m^* = 0.04 m$ (m is the free-electron mass), one concludes that if a weak link were to be electrostatically defined in such a system, then $k_{so}^{\text{Rashba}} d$ could be varied from ~ 8 to ~ 16 for $d = 1 \mu\text{m}$. The ratio of the effective mass to the free-electron mass quoted in Ref. 18 is comparable; in this quantum well the Dresselhaus SOI was kept constant while α_R could be varied between 53 and 75 $\text{meV} \cdot \text{\AA}$, leading to $k_{so}^{\text{Rashba}} d$ that varies from ~ 8 to ~ 16 for $d = 1 \mu\text{m}$.

As an explicit example, we consider a weak link of the form of two straight segments, whose SOI's parameters are $\tilde{\alpha}_{L(R)}$ and $\hat{\mathbf{v}}_{L(R)}$. One then finds that the two spin-precession factors, Eqs. (26), are [see Eqs. (25) and (30)]

$$\mathcal{A}^d = [\cos(2\tilde{\alpha}_L) + 2v_{Lz}^2][\cos(2\tilde{\alpha}_R) + 2v_{Rz}^2], \quad (32)$$

and

$$\begin{aligned} \mathcal{A}^s = \mathcal{A}^d + 4 \sin(\tilde{\alpha}_L) \sin(\tilde{\alpha}_R) \times \\ \times \{ [\hat{\mathbf{v}}_L \times \hat{\mathbf{v}}_R]_z [\cos(\tilde{\alpha}_R) v'_{Lz} - \cos(\tilde{\alpha}_L) v'_{Rz}] + \\ + \mathbf{v}_{L\perp} \cdot \mathbf{v}_{R\perp} [\cos(\tilde{\alpha}_L) \cos(\tilde{\alpha}_R) + v'_{Lz} v'_{Rz}] \}, \end{aligned} \quad (33)$$

where

$$v'_{L(R)z} = v_{L(R)z} \sin(\tilde{\alpha}_{L(R)}). \quad (34)$$

(The notation \perp indicates the part of the vector normal to $\hat{\mathbf{z}}$.) For instance, when both $\hat{\mathbf{v}}_L$ and $\hat{\mathbf{v}}_R$ are along $\hat{\mathbf{z}}$, then $\mathcal{A}^s = \mathcal{A}^d = 1$, and the effect of the SOI disappears. On the other hand, when the spin-orbit coupling is due to the Rashba interaction with an electric field directed along $\hat{\mathbf{z}}$ and the junction is lying in the XY plane (see Fig. 1), then $\hat{\mathbf{v}}_L$ and $\hat{\mathbf{v}}_R$ are in the XY plane. In that case the spin-precession factors are

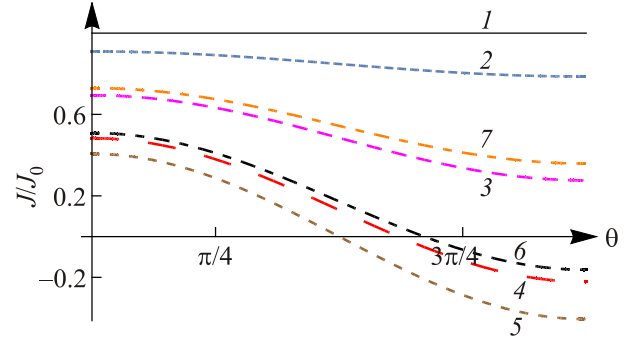


Fig. 2. (Color online) The normalized Josephson current, Eq. (18), as a function of the angle θ between $\hat{\mathbf{v}}_L$ and $\hat{\mathbf{v}}_R$ [see Eqs. (35)] for various values of $\tilde{\alpha}_R = \tilde{\alpha}_L = \tilde{\alpha}$. Straight (black) line — $\tilde{\alpha} = 0$ (1), tiny-dashed (blue) curve — $\tilde{\alpha} = 0.2$ (2), medium-dashed (magenta) curve — $\tilde{\alpha} = 0.4$ (3), large-dashed (red) curve — $\tilde{\alpha} = 0.6$ (4), dotted (brown) curve — $\tilde{\alpha} = 0.8$ (5), dot-dashed (black) curve — $\tilde{\alpha} = 1$ (6), dot-dashed (orange) curve — $\tilde{\alpha} = 1.2$ (7). The parameters that determine Eqs. (15) and (16) are $\varepsilon/\Delta = 0$ and $U/\Delta = 5$.

$$\mathcal{A}^d = \cos(2\tilde{\alpha}_L) \cos(2\tilde{\alpha}_R), \quad (35)$$

$$\mathcal{A}^s = \mathcal{A}^d + \sin(2\tilde{\alpha}_L) \sin(2\tilde{\alpha}_R) \cos(\theta),$$

where θ is the angle between $\hat{\mathbf{v}}_L$ and $\hat{\mathbf{v}}_R$. We plot in Fig. 2 the normalized Josephson current, Eq. (18), for $\tilde{\alpha}_R = \tilde{\alpha}_L = \tilde{\alpha}$ and the spin-precession factors as given in Eqs. (35), as a function of the angle θ , for various values of the spin-orbit strength $\tilde{\alpha}$. One notes the change of sign of the normalized Josephson current, as a function of the angle θ between $\hat{\mathbf{v}}_L$ and $\hat{\mathbf{v}}_R$.

Figure 3 displays the normalized Josephson current as a function of both θ and the spin-orbit coupling constant $\tilde{\alpha}$.

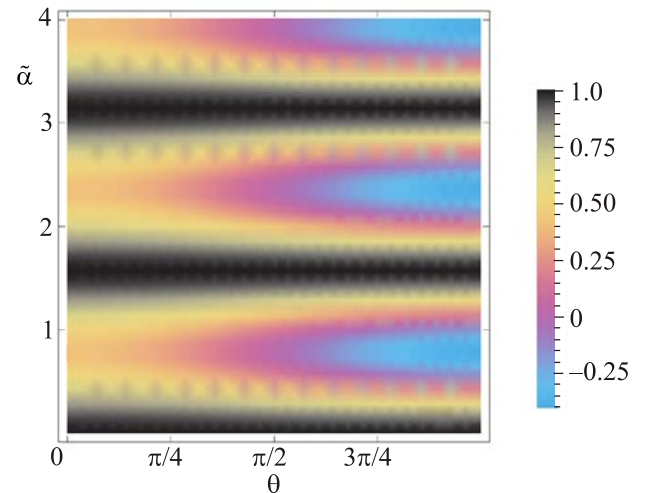


Fig. 3. (Color online) A density plot of the normalized Josephson current, Eq. (18), as a function of the angle θ between $\hat{\mathbf{v}}_L$ and $\hat{\mathbf{v}}_R$ and the spin-orbit coupling constant, $\tilde{\alpha}$ [see Eqs. (35)]. The parameters that determine Eqs. (15) and (16) are $\varepsilon/\Delta = 0$ and $U/\Delta = 5$.

Here one notes the conspicuous oscillations as a function of $\tilde{\alpha}$, which arise from the trigonometric functions in Eqs. (35). Figures 2 and 3 exemplify the possibility to vary the supercurrent in our device mechanically, by bending the bridge (and thus changing θ) connecting the superconductors.

As mentioned, the coupling constant of the SOI can be manipulated experimentally by varying gate voltages. Additional functionality is obtained when the orientations of these electric fields (induced by the gates) in the two arms of the bridge are made to be different. The simplest example is when the two arms of the bridge lie along the x -axis, with the electric field on the left nanowire directed along z , while that on the right one is in the YZ -plane, making an angle γ with the electric field on the left wire. In this configuration, $\hat{\mathbf{v}}_L = -\hat{\mathbf{y}}$ and $\hat{\mathbf{v}}_R = -\hat{\mathbf{y}} \cos(\gamma) + \hat{\mathbf{z}} \sin(\gamma)$. Using these expressions in Eqs. (32), (33), and (34) gives

$$\begin{aligned} \mathcal{A}^d &= \cos(2\tilde{\alpha})[\cos(2\tilde{\alpha}) + 2\sin^2(\tilde{\alpha})\sin^2(\gamma)], \\ \mathcal{A}^s &= \mathcal{A} + \sin^2(2\tilde{\alpha})\cos(\gamma), \end{aligned} \quad (36)$$

where for simplicity we have assumed that $\tilde{\alpha}_L = k_{so}^{\text{Rashba}} d_L$ and $\tilde{\alpha}_R = k_{so}^{\text{Rashba}} d_R$ coincide, i.e., $\tilde{\alpha}_L = \tilde{\alpha}_R \equiv \tilde{\alpha}$. The normalized Josephson current pertaining to this configuration, as a function of the angle γ between the electric fields, is shown in Fig. 4 for various values of $\tilde{\alpha}$. Its oscillation with respect to both γ and $\tilde{\alpha}$ is displayed in Fig. 5. Importantly, all our illustrations are based on gate-controlled SOI strengths that are amenable in experiment.

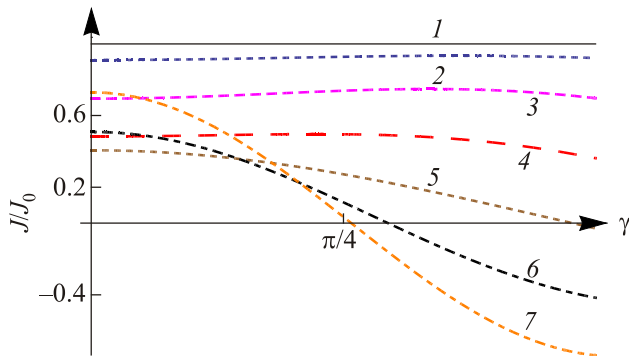


Fig. 4. (Color online) The normalized Josephson current, Eq. (18), as a function of the angle γ , the ‘mis-orientation’ of the electric fields on the left and right nanowires [see Fig. 1 and Eqs. (36)] for various values of $\tilde{\alpha}_R = \tilde{\alpha}_L = \tilde{\alpha}$. Straight (black) line — $\tilde{\alpha} = 0$ (1), tiny-dashed (blue) curve — $\tilde{\alpha} = 0.2$ (2), medium-dashed (magenta) curve — $\tilde{\alpha} = 0.4$ (3), large-dashed (red) curve — $\tilde{\alpha} = 0.6$ (4), dotted (brown) curve — $\tilde{\alpha} = 0.8$ (5), dot-dashed (black) curve — $\tilde{\alpha} = 1$ (6), dot-dashed (orange) curve — $\tilde{\alpha} = 1.2$ (7). The parameters that determine Eqs. (15) and (16) are $\varepsilon/\Delta = 0$ and $U/\Delta = 5$.

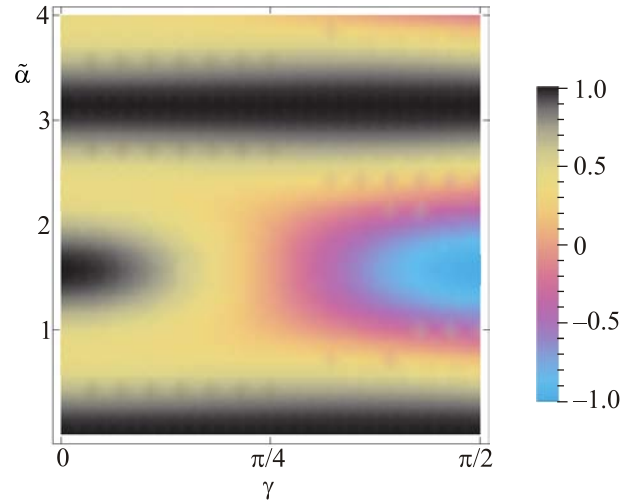


Fig. 5. (Color online) A density plot of the normalized Josephson current, Eq. (18), as a function of the angle γ between indicating the ‘mis-orientation’ of the electric fields on the two nanowires, and the spin-orbit coupling constant, $\tilde{\alpha}$ [see Eqs. (36)]. The parameters that determine Eqs. (15) and (16) are $\varepsilon/\Delta = 0$ and $U/\Delta = 5$.

4. Discussion

We have considered the spin splitting of Cooper pairs that carry a supercurrent through a weak-link Josephson junction. Our main result, illustrated in Figs. 2–5, is the rich oscillatory dependence of the normalized Josephson current, J/J_0 [see Eq. (18)], on both the spin-orbit coupling constant $\tilde{\alpha}$ and the geometrical properties of the junction. In the example illustrated in Fig. 1, the latter variation is manifested in the dependence of J/J_0 on the bending angle θ between $\hat{\mathbf{v}}_L$ and $\hat{\mathbf{v}}_R$, which are normal to the wires connecting the dot with the left and right reservoirs, respectively, and are lying in the the plane of the junction. As seen in Fig. 2, for certain specific values of θ and the spin-orbit coupling strength $\tilde{\alpha}$ the current vanishes. Another possibility to manipulate the geometry is to ‘mis-orient’ the electric fields that give rise to the spin-orbit interactions on the weak link. Figures 4 and 5 display the dependence of the supercurrent on the angle in-between these two fields.

The oscillatory dependence of the supercurrent on the SOI strength (i.e., the dependence on $\tilde{\alpha}$ in Figs. 2–5) results from a rather complex interference between different transmission events: the single-electron transmission one, that yields J^s , and the double-electron transmission that gives J^d , Eqs. (14). In the single-electron transmission channel the two electrons are transferred sequentially one by one, so that at any time during the tunneling there is only one electron on the bridge. By contrast, in the other transmission channel both electrons appear in the link for some period of time, which means that in the Coulomb-blockade limit the transfer of Cooper pairs in this channel

is completely suppressed. As the Coulomb blockade is lifted, the probability of pairs to be transferred in the double-electron tunneling increases. As seen from Eqs. (21), (22), and (23), the Pauli principle operating on the dot breaks the coherence of the pair transfer in the double-electron tunneling process, but does not ruin completely the contribution to the Josephson current.

The pronounced oscillations of the supercurrent and the sign reversal can be observed for plausible lengths of the weak link, of the order of a micron, supposedly achievable by suitably-designed geometries of the gates. The magnitude of the Josephson current through a quantum dot is set by the functions F^s and F^d , Eqs. (15) and (16), that are derived for short weak links [31]. However, whereas the restriction on the length d of the bridge might be strict, $d \ll \xi$ for the orbital part (ξ is the superconducting coherence length), it is far weaker for the spin-dependent part: $k_{so}^{\text{Rashba}} d \ll k_F \xi$, since the spin-precession factors \mathcal{A}^s and \mathcal{A}^d are not sensitive to the energy dependence of the transmission amplitude [9]. Our results indicate interesting phenomena caused by SOI-induced spin polarization of Cooper pairs.

An intriguing feature of our result concerns the spin-polarization created on the dot due to the superconducting proximity effect in conjunction with the spin-orbit coupling. Calculating this polarization may require higher-orders in the tunneling, which are beyond the scope of the present analysis.

Acknowledgment

We thank the Computational Science Research Center in Beijing for the hospitality that allowed for the accomplishment of this project. RIS and MJ thank the IBS Center for Theoretical Physics of Complex Systems, Daejeon, Rep. of Korea, and OEW and AA thank the Dept. of Physics, Univ. of Gothenburg, for hospitality. This work was partially supported by the Swedish Research Council (VR), by the Israel Science Foundation (ISF), by the infrastructure program of Israel's Ministry of Science and Technology under contract 3-11173, by the Pazi Foundation, and by the Institute for Basic Science, Rep. of Korea (IBS-R024-D1).

Appendix A: Time-reversal symmetry and the tunneling amplitudes

Here we discuss the effect of the time-reversal transformation on the tunneling amplitudes of Eq. (5) as given in Eqs. (6) and (7), and prove Eq. (9). Consider for instance $[\mathbf{t}_{\mathbf{k}}^{LD}]_{\sigma\sigma'}$, the probability amplitude for an electron to go from the the state $|\sigma'\rangle$ on the dot to the state $|\mathbf{k}, \sigma\rangle$ on the left lead. We denote by an overline the quantities related to the time-reversed process. Thus, $[\overline{\mathbf{t}_{\mathbf{k}}}^{LD}]_{\overline{\sigma}\overline{\sigma}'}$ is the probability amplitude for the time-reversed process which takes an electron from the time-reversed state of $|\sigma'\rangle$ on the dot, — i.e., from $|\overline{\sigma}'\rangle$ — to the time-reversed state of $|\mathbf{k}, \sigma\rangle$ in the left lead, that is, to $|\overline{-\mathbf{k}}, \overline{\sigma}\rangle$. The time-reversal transformation is given in Eq. (8), and is reproduced here for clarity,

$$\overline{\mathbf{t}_{\mathbf{k}}}^{LD} = \hat{\mathbf{T}} \mathbf{t}_{\mathbf{k}}^{LD} \hat{\mathbf{T}}^{-1}, \quad (\text{A1})$$

where $\hat{\mathbf{T}} = K(i\sigma_y)$ is the time-reversal operator; K is the complex conjugation operator, and σ_y is the Pauli matrix. Hence,

$$\begin{aligned} |\overline{\uparrow}\rangle &\equiv (i\sigma_y)|\uparrow\rangle = -|\downarrow\rangle, \\ |\overline{\downarrow}\rangle &\equiv (i\sigma_y)|\downarrow\rangle = |\uparrow\rangle. \end{aligned} \quad (\text{A2})$$

The spin-orbit interaction by itself is time-reversal symmetric, i.e., its matrix part \mathbf{W} [see Eqs. (6) and (7)] is invariant under the time-reversal transformation, $\overline{\mathbf{W}} = \mathbf{W}$, while the scalar factor (i.e., $it_{L(R)} \exp[-ik_F d_{L(R)}]$) is complex-conjugated. It remains to find the tunneling amplitude in the basis of the time-reversed states. To this end we use the generic form of the linear SOI, \mathbf{W} [see Eq. (7)]. Using Eqs. (A2), one finds

$$[\overline{\mathbf{W}}^{L(R)D}]_{\overline{\sigma}\overline{\sigma}'} = [(\mathbf{W}^{L(R)D})^*]_{\sigma\sigma'}, \quad (\text{A3})$$

which leads to the relation Eq. (9).

Appendix B: Expansion of the particle current

Upon using the expansion Eq. (12) in the expression (10) for the particle current, one finds quite a number of terms. However, only four of them describe the transfer of Cooper pairs at thermal equilibrium,

$$\begin{aligned} &i \int_{-\infty}^t dt_1 \int_{-\infty}^{t_1} dt_2 \int_{-\infty}^{t_2} dt_3 [\langle \mathcal{H}_{LD}(t) \mathcal{H}_{DR}(t_1) \mathcal{H}_{LD}(t_2) \mathcal{H}_{DR}(t_3) \rangle + \langle \mathcal{H}_{LD}(t) \mathcal{H}_{LD}(t_1) \mathcal{H}_{DR}(t_2) \mathcal{H}_{DR}(t_3) \rangle] - \\ &-i \int_{-\infty}^t dt_1 \int_{-\infty}^{t_1} dt_2 \int_{-\infty}^{t_2} dt_3 \langle \mathcal{H}_{LD}(t_1) \mathcal{H}_{LD}(t) \mathcal{H}_{DR}(t_2) \mathcal{H}_{DR}(t_3) \rangle + i \int_{-\infty}^t dt_1 \int_{-\infty}^{t_1} dt_2 \int_{-\infty}^{t_2} dt_3 \langle \mathcal{H}_{LD}(t_2) \mathcal{H}_{DR}(t) \mathcal{H}_{LD}(t) \mathcal{H}_{DR}(t_3) \rangle. \end{aligned} \quad (\text{B1})$$

Recall that the dot is empty in the decoupled state of the junction [30]. Examining the expressions in Eq. (B1) in conjunction with Eq. (5) shows the following fea-

tures. (i) Each of the terms corresponds to the annihilation of a pair of electrons in the right reservoir and the creation of a pair in the left reservoir. [Note that the

particle current, Eq. (10), requires the imaginary part of (B1), which means that it includes also analogous terms corresponding to a pair creation in the right reservoir, and a pair annihilation in the left one.] As the electrons

in each pair are in two time-reversed states, the two tunneling amplitudes are related according to Eq. (9). For instance, the first term in Eq. (B1) is

$$i \sum_{\mathbf{k}, \mathbf{p}, \sigma_L, \sigma_R} \sum_{\sigma, \sigma'} [\bar{\mathbf{t}}_{\mathbf{k}}^{LD}]_{\bar{\sigma}_L \bar{\sigma}'} [\bar{\mathbf{t}}_{\mathbf{p}}^{DR}]_{\bar{\sigma}' \bar{\sigma}_R} [\bar{\mathbf{t}}_{\mathbf{k}}^{LD}]_{\sigma_L \sigma} [\mathbf{t}_{\mathbf{p}}^{DR}]_{\sigma' \sigma_R} \times \int_{-\infty}^t dt_1 \int_{-\infty}^{t_1} dt_2 \int_{-\infty}^{t_2} dt_3 \langle c_{-\mathbf{k}\bar{\sigma}_L}^\dagger(t) d_{\bar{\sigma}'}(t) d_{\bar{\sigma}'}^\dagger(t_1) c_{-\mathbf{p}\bar{\sigma}}(t_1) c_{\mathbf{k}\sigma_L}^\dagger(t_2) d_{\sigma'}(t_2) d_{\sigma'}^\dagger(t_3) c_{\mathbf{p}\sigma_R}(t_3) \rangle. \quad (\text{B2})$$

(ii) Two of the terms in Eq. (B1), the first and the fourth, correspond to sequential tunneling, in which the dot is only singly occupied in the intermediate state. In the other two terms, the dot is doubly occupied in the intermediate state, and therefore the evolution of the spin states of the tunneling pair is disrupted. (iii) The quantum averages of the operators of the reservoirs are nonzero only in the superconducting state, i.e., when both leads are superconducting.

The remaining part of the calculation is routine: using the Bogoliubov transformation, one derives the time-dependent quantum average of the operators of the reservoirs. Those on the dot are calculated using the Hamiltonian of the decoupled dot [the first two terms on the right hand-side of Eq. (2)]. In this way, the expression in Eq. (B2) becomes

$$-2e^{i(\varphi_R - \varphi_L)} \sum_{\mathbf{k}, \mathbf{p}} |t_{\mathbf{k}}|^2 |t_{\mathbf{p}}|^2 \times \frac{\Delta_L}{2E_k} \frac{\Delta_R}{2E_p} \frac{1}{E_k + \varepsilon} \frac{1}{E_k + E_p} \frac{1}{E_p + \varepsilon} \mathcal{A}^s. \quad (\text{B3})$$

where $E_{k(p)}^2 = \varepsilon_{k(p)}^2 + \Delta_{L(R)}^2$. For simplicity, the temperature is set to zero. In deriving this expression, we have made use of Eq. (9), that relates the tunneling amplitudes of two time-reversed events. The factor \mathcal{A}^s describes the spin precession in the sequential tunneling processes [i.e., the first and the fourth terms in Eq. (B2)]. Explicitly,

$$\mathcal{A}^s = \frac{1}{2} \sum_{\sigma, \sigma'} \sum_{\sigma_L, \sigma_R} \text{sgn}(\sigma_L) \text{sgn}(\sigma_R) [(\mathbf{W}^{LD})^*]_{\sigma_L, \sigma'} \times [(\mathbf{W}^{LD})]_{\sigma_L \sigma} [(\mathbf{W}^{DR})^*]_{\sigma' \sigma_R} [(\mathbf{W}^{DR})]_{\sigma \sigma_R}. \quad (\text{B4})$$

As in the absence of the SOI the matrices \mathbf{W} are all just the unit matrix, the spin-precession factor \mathcal{A}^s becomes then 1. The sums over \mathbf{k} and \mathbf{p} are carried out assuming that $|t_{\mathbf{k}(\mathbf{p})}|^2$ and the single-particle density of states of the leads can be approximated by their respective values at the Fermi energy, $|t_{L(R)}|^2$ and $N_{L(R)}$. For a short weak link with $\Delta_L = \Delta_R \equiv \Delta$ [31], these sums then give $(\Gamma_L \Gamma_R / \Delta) F^s(\varepsilon / \Delta)$, where $\Gamma_{L(R)} = \pi N_{L(R)} |t_{L(R)}|^2$, and the function F^s is

$$F^s(\bar{\varepsilon}) = \int_{-\infty}^{\infty} \frac{d\zeta_k}{\pi} \times \int_{-\infty}^{\infty} \frac{d\zeta_p}{\pi} [(\cosh \zeta_k + \bar{\varepsilon})(\cosh \zeta_k + \cosh \zeta_p)(\cosh \zeta_p + \bar{\varepsilon})]^{-1}, \quad \bar{\varepsilon} = \varepsilon / \Delta. \quad (\text{B5})$$

An identical result is obtained for the fourth term in the expansion (B1). The imaginary part of the expression in Eq. (B3) consists of three factors, the Josephson amplitude of the interface between the two superconductors (i.e., in the absence of the resonant level on the dot and the SOI), $I_0 = 2 \sin(\varphi_R - \varphi_L) [\Gamma_L \Gamma_R / \Delta]$, the function F^s that conveys the effect of the localized level on the dot, and the spin-precession factor, \mathcal{A}^s . The two latter factors are discussed in Sec. 3.

The second term in Eq. (B1), which pertains to the situation where during the tunneling process the dot is doubly occupied, reads

$$i \sum_{\mathbf{k}, \mathbf{p}, \sigma_L, \sigma_R} \sum_{\sigma} [\bar{\mathbf{t}}_{\mathbf{k}}^{LD}]_{\bar{\sigma}_L \bar{\sigma}} [\bar{\mathbf{t}}_{\mathbf{p}}^{DR}]_{\bar{\sigma} \bar{\sigma}_R} [\mathbf{t}_{\mathbf{k}}^{LD}]_{\sigma_L \sigma} [\mathbf{t}_{\mathbf{p}}^{DR}]_{\sigma \sigma_R} \times \int_{-\infty}^t dt_1 \int_{-\infty}^{t_1} dt_2 \int_{-\infty}^{t_2} dt_3 \langle c_{-\mathbf{k}\bar{\sigma}_L}^\dagger(t) d_{\bar{\sigma}}(t) c_{\mathbf{k}\sigma_L}^\dagger(t_1) d_{\bar{\sigma}}(t_1) d_{\bar{\sigma}}^\dagger(t_2) \times c_{-\mathbf{p}\bar{\sigma}_R}(t_2) d_{\bar{\sigma}}^\dagger(t_3) c_{\mathbf{p}\sigma_R}(t_3) \rangle, \quad (\text{B6})$$

where we have taken into account the Pauli principle, and therefore there are only three summations over the spin indices [c.f. Eq. (B2)]. In this case we obtain

$$-4e^{i(\varphi_R - \varphi_L)} \sum_{\mathbf{k}, \mathbf{p}} |t_{\mathbf{k}}|^2 |t_{\mathbf{p}}|^2 \frac{\Delta_L}{2E_k} \frac{\Delta_R}{2E_p} \frac{1}{E_k + \varepsilon} \frac{1}{2\varepsilon + U} \frac{1}{E_p + \varepsilon} \mathcal{A}^d, \quad (\text{B7})$$

where \mathcal{A}^d describes the spin precession in the tunneling processes in which the two electrons reside simultaneously on the dot in the intermediate state [i.e., the second and the third terms in Eq. (B2)]. Its explicit form is

$$\mathcal{A}^d = \frac{1}{2} \sum_{\sigma} \sum_{\sigma_L, \sigma_R} \text{sgn}(\sigma_L) \text{sgn}(\sigma_R) [(\mathbf{W}^{LD})^*]_{\sigma_L \sigma} \times \quad (\text{B8})$$

$$\times [(\mathbf{W}^{LD})]_{\sigma_L \sigma} [(\mathbf{W}^{DR})^*]_{\sigma \sigma_R} [(\mathbf{W}^{DR})]_{\sigma \sigma_R}.$$

Similar to \mathcal{A}^s , this factor also becomes 1 in the absence of the SOI. The sums over \mathbf{k} and \mathbf{p} are carried out as explained above. Because of the double occupancy of the dot, the energy denominators in Eq. (B7) differ from those in Eq. (B3). These summations give rise to another function, $F^d(\varepsilon/\Delta, U/\Delta)$, of the energies on the dot [31]

$$F^d(\tilde{\varepsilon}, \tilde{U}) =$$

$$= \int_{-\infty}^{\infty} \frac{d\zeta_k}{\pi} \int_{-\infty}^{\infty} \frac{d\zeta_p}{\pi} [(\cosh\zeta_k + \tilde{\varepsilon})(2\tilde{\varepsilon} + \tilde{U})(\cosh\zeta_p + \tilde{\varepsilon})]^{-1},$$

$$\tilde{\varepsilon} = \varepsilon/\Delta, \quad \tilde{U} = U/\Delta. \quad (\text{B9})$$

Since the third term in the expansion (B1) turns out to be identical to Eq. (B7), it follows that the contribution from these tunneling processes to the Josephson current is again a product of three factors, I_0 , F^d , and \mathcal{A}^d .

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