

THE INTERSECTION BETWEEN DNA DAMAGE RESPONSE AND CELL DEATH PATHWAYS

S. Nowsheen¹, E.S. Yang^{1,2,3,*}

Departments of ¹Radiation Oncology, ²Cell, Developmental, and Integrative Biology, and ³Pharmacology
and Toxicology,

Comprehensive Cancer Center, University of Alabama at Birmingham School of Medicine, Alabama, USA

Apoptosis is a finely regulated process that serves to determine the fate of cells in response to various stresses. One such stress is DNA damage, which not only can signal repair processes but is also intimately involved in regulating cell fate. In this review we examine the relationship between the DNA damage/repair response in cell survival and apoptosis following insults to the DNA. Elucidating these pathways and the crosstalk between them is of great importance, as they eventually contribute to the etiology of human disease such as cancer and may play key roles in determining therapeutic response. This article is part of a Special Issue entitled “Apoptosis: Four Decades Later”.

Key Words: poly(ADP-ribose) polymerase, EGFR, GSK3, BRCA1, apoptosis, p53, DNA damage and repair, balance between cell survival and death.

Cell death is a fundamental cellular response that has a pivotal role in development as well as maintaining tissue homeostasis by eliminating unwanted cells. It is composed of both controlled and uncontrolled mechanisms, including apoptosis, autophagy, and necrosis. Apoptosis is a regulated cell death process that reflects the cellular decision to die in response to cues from the environment and is executed by intrinsic cellular machinery [1, 2]. In contrast, necrosis is uncontrolled cell death brought upon by overwhelming stress. Lastly, autophagy is characterized by self-destruction starting with engulfment of cytoplasmic material by the phagophore and sequestration of material to the autophagic vacuoles, where they are eventually destroyed [3]. The type and strength of stimuli, tissue type, developmental stage of the tissue, and

the physiologic cellular microenvironment determines which cell death process is undertaken [2].

The human body is continuously exposed to various external and internal stresses, such as hypoxia, toxins, oxidative stress, and many others [4–10]. The ability of individual cells to adapt to these stresses is crucial for their survival. Alternatively, if too much damage has been sustained, coordinated activation of cell death processes must occur to rid the body of cells that contain potential disease initiating mutations. Thus, complex adaptation strategies such as cell cycle checkpoints, DNA damage response pathways, and programmed cell death have evolved to combat these environmental and physiological threats [5]. In this review, we will focus on one of these stresses, DNA damage, as it relates to the cell death processes. Ultimately, imbalance between DNA damage/repair and activation/inactivation of these cell death processes leads to carcinogenesis and may even alter tumor response to therapy.

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*Correspondence: Fax: 205-975-0784

E-mail: eyang@uab.edu

Abbreviations used: 8-OHdG – 8-hydroxydeoxyguanosine; AP – apurinic/aprimidinic; APAF-1 – apoptotic protease activating factor-1; ATM – ataxia telangiectasia mutated; ATR – ataxia telangiectasia and Rad3 related; BARD – BRCA1-associated RING domain protein; BER – base excision repair; BRCT – BRCA1 C-terminus; CDK – cyclin dependent kinase; CREB – cyclic AMP response element binding protein; DD – death domain; DED – death effector domain; DISC – death inducing signaling complex; DNA PK – DNA-dependent protein kinase; DSB – double strand break; EGFR – epidermal growth factor receptor; FADD – Fas-associated death domain protein; FOXO – Forkhead box class O; GSK3 – glycogen synthase kinase 3; HR – homologous recombination; HSF-1 – heat shock factor-1; MMR – mismatch repair; MRN – Mre11–Rad50–Nbs1; NEMO – NF-κB essential modulator; NER – nucleotide excision repair; NF-κB – nuclear factor-κB, NHEJ – non-homologous end joining, PAR – poly(ADP-ribose), PARP – poly(ADP-ribose) polymerase; PARylation – poly(ADP-ribose)ylation; PUMA – p53 upregulated modulator of apoptosis; RIP1 – receptor-interacting protein 1; RPA – replication protein A; SIRT – sirtuins; SSB – single strand break; SUMO – small ubiquitin-like modifier; TNFR – tumor necrosis factor receptor; TRADD – tumor necrosis factor receptor type 1-associated DEATH domain.

APOPTOSIS

Apoptosis is a vital process of programmed cell death characterized by distinct morphological characteristics and energy-dependent biochemical mechanisms [1, 2]. It is an integral component of various homeostatic and defense processes including normal cell turnover, aging, proper development and functioning of the immune system, hormone dependent atrophy, embryonic development, and chemical-induced cell death [2]. Either too much or too little apoptosis leads to various disease conditions including autoimmune and neurodegenerative disorders, ischemic damage, and cancer [2, 9–13]. Thus, the ability to modulate the life and death of a cell has immense therapeutic potential and has been the subject of intense research over the years.

Apoptosis ultimately leads to a series of coordinated and energy-dependent activation of a group of cysteine proteases — caspases [2, 10–18]. This

leads to a cascade of events that link the initiating stimuli to cellular death (Fig. 1). Early apoptosis is characterized by cell shrinkage, dense cytoplasm, tightly packed organelles, and pyknosis due to chromatin condensation [2, 14, 18, 19]. This is followed by budding which involves extensive plasma membrane blebbing, karyorrhexis and separation of cell fragments into apoptotic bodies [2, 19]. The apoptotic bodies are subsequently phagocytosed by macrophages, parenchymal cells, or neoplastic cells and degraded within phagolysosomes [2, 14, 19]. Since apoptotic cells do not release their cellular content into the interstitial tissue and there are no inflammatory cytokines produced, there are no inflammatory reactions associated with apoptosis [2, 14, 19].

The major apoptotic pathways include the extrinsic or death receptor pathway, the intrinsic or mitochondrial pathway, and the perforin/granzyme pathway that involves T-cell mediated cytotoxicity (Fig. 1). For this review we will focus briefly on the extrinsic and intrinsic pathways. For a more in depth discussion, please refer to these excellent reviews [2, 16].

Extrinsic pathway

As mentioned above, the extrinsic apoptotic signaling is mediated by the activation of death receptors [2, 20, 21]. The death receptors are cell surface receptors that transmit apoptotic signals after binding with specific activating ligands. Death receptors belong to the tumor necrosis factor receptor (TNFR) gene

superfamily, including TNFR-1, Fas/CD95, and the TRAIL receptors DR-4 and DR-5. They are characterized by cysteine rich extracellular subdomains which allow highly specific ligand recognition, subsequent trimerization, and activation of the death receptor [20]. Subsequent signaling is mediated by the cytoplasmic part of the death receptor which contains a conserved sequence termed the death domain (DD). Adapter molecules like Fas-associated death domain protein (FADD) or Tumor necrosis factor receptor type 1-associated DEATH domain (TRADD) possess the same sequence which allows them to form the death inducing signaling complex (DISC) and further propagate the signal [20, 22]. Another domain of the FADD, the death effector domain (DED), sequesters procaspase-8 to the DISC. Accumulation of procaspase-8 at the DISC leads to autocatalytic activation due to autoproteolysis. This subsequently releases active caspase-8 which activates effector caspases resulting in cell death [2, 20, 22].

Intrinsic pathway

On the other hand, the intrinsic apoptosis pathways involve procaspase-9 which is activated downstream of mitochondrial proapoptotic events at the cytosolic death signaling protein complex, the apoptosome [2, 20]. Disruption of the inner mitochondrial transmembrane potential and permeability releases proapoptotic proteins from the mitochondrial intermembrane space into the cytoplasm. The released proteins include

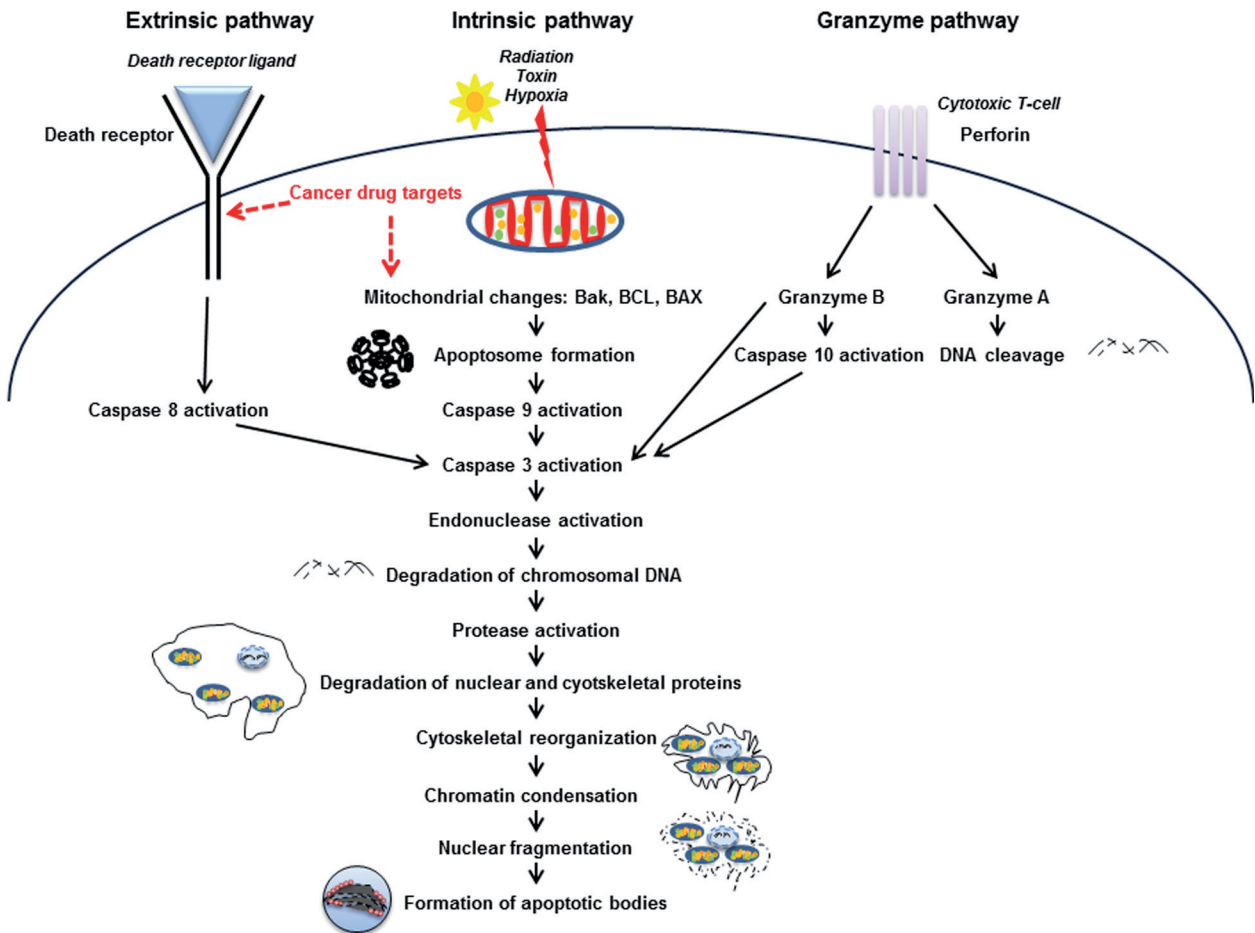


Fig. 1. Signaling events characteristic of apoptosis

cytochrome c, which activates the apoptosome and therefore the caspase cascade [2, 20]. Dimerization of procaspase-9 molecules at the Apaf-1 scaffold induces caspase-9 activation and subsequent proteolytic activation of the effector procaspases-3, -6, and -7 [2, 20]. These cleave protein substrates, including procaspases, resulting in the mediation and amplification of the death signal and eventually in the execution of cell death [2, 20].

There is significant crosstalk between the pathways and molecules in one pathway can influence the other [2, 20]. The pathways converge on the same execution route which is initiated by the cleavage of caspase 3 and results in DNA fragmentation, degradation of cytoskeletal and nuclear proteins, cross-linking of various proteins, formation of apoptotic bodies, expression of ligands for phagocytic cell receptors and phagocytosis [2]. Ultimately, activation of caspases leads to an irreversible cascade of events progressing towards cell death.

As mentioned earlier, many cellular stresses can impact survival versus death pathways. In the next section, we focus on one particular cell stress, that is, DNA damage.

THE DNA DAMAGE RESPONSE

The human genome is under constant attack which leads to thousands of DNA lesions per day. The cellular response to DNA damage is critical for maintenance of genomic integrity [4, 5, 23]. Dysregulation of this DNA damage response leads to genomic instability, which can result in the inactivation of pro-apoptotic pathways and the survival of cells that are polyploid, contain damaged DNA, and have dysregulated telomere maintenance [4, 5, 23, 24]. Suppression of the tightly regulated apoptotic process may thus play a critical role in the development of some cancers [4, 5, 8, 23].

Combating this malignant transformation process is the DNA damage response, a complex mechanism to detect the above mentioned lesions, signal their presence, and promote their repair. Additionally, mechanisms are in place such that if the damage is too great or repair is ineffective, activation of cell death pathways such as apoptosis or necrosis ensues (Fig. 2) [5, 8, 23]. These steps are in place to combat the threats posed by excess or unrepaired DNA damage [5, 8, 23]. In this next section, we briefly discuss the different types of DNA damage and the cellular responses to such damage to ultimately regulate cell fate.

DNA can be damaged by exogenous agents such as radiation, x-ray, UV, alkylating agents, as well as by the by-products from endogenous processes such as reactive oxygen and nitrogen species from metabolism and errors from DNA replication [5–8, 23]. The resultant DNA damage either involves one or both strands of the DNA (single strand vs. double strand breaks, respectively). While unresolved single strand breaks (SSBs) can be converted to double strand breaks (DSBs) and repaired, unrepaired DSBs can lead to severe conse-

quences in cells. DSBs can be mutagenic, since they can potentially affect the expression of multiple genes. Most importantly, as little as one unrepaired DSB can be lethal to the cell [5–8, 23].

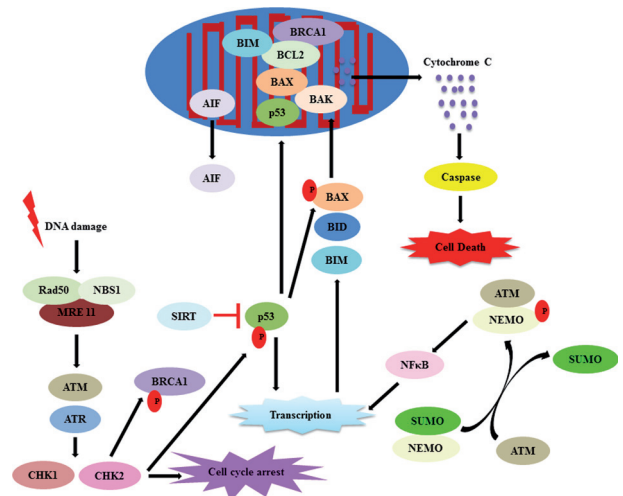


Fig. 2. The complex relationship between DNA damage, repair and apoptosis. DNA damage triggers cellular responses such as cell cycle arrest, post-translational protein modifications, DNA repair, and transcription of pro/anti survival genes. The balance between these processes ultimately determines cell fate

Exogenously, ultraviolet and other types of high energy radiation can induce SSBs and DSBs. UV-induced damage can also result in the production of pyrimidine dimers, where covalent cross-links occur in cytosine and thymine residues, disrupting DNA polymerases and preventing DNA replication [5–8]. Other agents can form DNA adducts and inter/intra strand crosslinks which, if left unrepaired, can lead to permanent mutations resulting in cell transformation and ultimately tumor development [5–8].

Endogenously, oxidative DNA damage can occur and involves oxidation of specific bases. 8-hydroxydeoxyguanosine (8-OHdG) is the most common marker for oxidative DNA damage [5–8]. Oxidative stress plays a central role in the pathophysiology of age-induced apoptosis via accumulated free radical-induced damage to the mitochondria. On the other hand, hydrolytic DNA damage involves deamination or the total removal of individual bases. Loss of DNA bases, known as AP (apurinic/apyrimidinic) sites, can be particularly mutagenic and if left unrepaired they can inhibit transcription [7, 25]. Interestingly, hydrolytic damage may result from the overabundance of reactive oxygen species, often a byproduct of respiration. Of course, the type and severity of damage to the DNA dictate the cellular response and ultimately cell fate [25].

Regardless of the type of DNA damage, the response to this insult involves sensing the damage, activating the checkpoints, and repairing/resolving the DNA lesions. These processes will be discussed below.

DNA damage sensors

In order to initiate the DNA damage response, DNA damage sensors must first detect the aberrant DNA lesions. The Mre11–Rad50–Nbs1 (MRN) complex acts as the sensor of DNA damage and maintains genomic

stability by processing DNA ends and recruiting/bridging other members of the DNA damage response [5, 15, 23, 26–28]. Specifically, Rad50 recognizes the DNA, Nbs1 recruits other DNA repair proteins to DSB lesions, and Mre11 processes the DNA ends with its DNA nuclease activity [27]. This leads to activation of Ataxia Telangiectasia Mutated (ATM) or Ataxia Telangiectasia and Rad3 Related (ATR) depending on where the damage resides (ATM: DNA DSBs on chromatin vs. ATR: stalled replication forks) [26, 29, 30]. It is at this point where a cascade of signaling events is orchestrated to activate checkpoints and assemble the remaining members of the DNA repair complex.

Checkpoint activation

Upon sensing the DNA damage, a coordinated activation of DNA damage checkpoints as well as DNA repair proteins is required to arrest the cell cycle, thus allowing time for repair processes [24]. Checkpoints also induce changes in telomeric chromatin and recruitment of DNA repair proteins to sites of DNA damage, activation of transcription, telomere length, and induction of cell death by apoptosis [24]. Not surprisingly, several checkpoint genes are essential for cell and organism survival.

Chk1, a serine/threonine-protein kinase is required for checkpoint-mediated cell cycle arrest and activation of DNA repair in response to the presence of DNA damage or unreplicated DNA. Chk1 binds to and phosphorylates CDC25 which creates binding sites for 14-3-3 proteins and triggers degradation of CDC25 via ubiquitination pathway and proteosomal degradation [30, 31]. This leads to increased inhibitory tyrosine phosphorylation of CDK-cyclin complexes and blocks cell cycle progression. Chk1 also binds to Rad51 which promotes the release of Rad51 from BRCA2, increasing the chromatin association of Rad51 and subsequent HR-mediated DNA repair [32]. Chk1 also promotes repair of DNA cross-links by phosphorylating FANCD1 which is required for the nuclear accumulation of FANCD2 and provides a critical bridge between the FA complex and FANCD2 [33]. Chk1 also plays an essential role in maintenance of replication fork by regulating PCNA [33]. Besides, it also plays a role by modulating transcription of genes involved in cell-cycle progression through phosphorylation of histones and subsequent epigenetic silencing of genes. Chk1 phosphorylates Rb1 to promote its interaction with the E2F family of transcription factors triggering subsequent cell cycle arrest and phosphorylates p53 activating the protein and promoting cell cycle arrest as well [31, 34].

Chk2 functions similar to Chk1, regulating cell cycle checkpoint arrest through phosphorylation of CDC25, inhibiting their activity [30, 32–37]. Inhibition of CDC25 phosphatase activity leads to increased inhibitory tyrosine phosphorylation of CDK-cyclin complexes and blocks cell cycle progression. Chk2 also phosphorylates NEK6 which is involved in G2/M cell cycle arrest [35]. Similar to Chk1, Chk2 regulates HR-mediated DNA repair through

phosphorylation of BRCA2, enhancing the chromatin association of RAD51. Moreover, Chk2 promotes the transcription of genes involved in DNA repair (including BRCA2) through the phosphorylation and activation of the transcription factor FOXM1 [32]. Chk2 also regulates apoptosis through the phosphorylation of p53, MDM4 and PML [34, 36, 38]. Chk2 mediated phosphorylation of p53 reverses inhibition by MDM2, leading to accumulation of active p53. Chk2 dependent phosphorylation of MDM4 also functions to reduce degradation of p53. The kinase also controls the transcription of pro-apoptotic genes through phosphorylation of the transcription factor E2F1. Finally, Chk2 has a tumor suppressor role as well in that it functions in mitotic spindle assembly by phosphorylating BRCA1 and absence of Chk2 has been observed in some cancers [30, 33–38].

Cyclin dependent kinase (CDK) family of serine/threonine kinases regulate cell cycle progression through phosphorylation of proteins that function at specific phases of the cell cycle [26, 39]. Different CDKs act at different phases of the cell cycle and their activity is each dependent on association with a member of the cyclin family of proteins. Cdk1-cyclin B is important for the M phase transition while Cdk2-cyclin E association is critical for G1/S transition. Cdk2-cyclin E also functions in the S and G2 phases while CDK4-cyclin E and CDK6-cyclin D control progression through the G1 phase of the cell cycle by phosphorylation of the tumor suppressor protein, Rb [26, 39]. These proteins have thus been actively studied for cancer therapy.

DNA repair pathways

Once the DNA damage has been sensed and checkpoints activated, the process of repairing this damage is initiated. We will first focus on the DSB repair pathways, of which there are 2 major pathways: the homologous recombination (HR) and non-homologous end joining (NHEJ) [6–8, 25, 40]. HR relies on the presence of a sister chromatid and the cell cycle-regulated 5'-to-3' resection of DNA ends that generates stretches of single stranded DNA. This single stranded DNA is bound by replication protein A (RPA). BRCA2 subsequently binds Rad51 and promotes its loading onto RPA coated single stranded DNA to produce a RAD51-single stranded DNA nucleoprotein filament. RPA-coated ssDNA also leads to recruitment and activation of the checkpoint kinase ATR, which phosphorylates various targets, including the downstream checkpoint kinase CHK1. This cascade of events promotes DNA strand invasion and subsequent HR events. Because of the use of the homologous sister chromatid as template, HR is an error free repair pathway and the major mechanism utilized by cells for repairing DSBs and restarting stalled replication forks [8, 26].

On the contrary, the error-prone NHEJ involves connecting and resealing the two ends of DNA DSB without the need for sequence homology between the ends. Thus, this process is not dependent on the cell

cycle and is, in fact, active throughout all phases of the cell cycle. It involves among others, Ku 70/80, DNA protein kinase family of proteins [8, 25, 40].

It is not yet clear what dictates the choice of repair pathway. Research suggests that the choice between NHEJ or HR pathways depends on cell cycle stage; NHEJ is active throughout the cell cycle, and its activity increases as cells progress from G1 to G2/M ($G1 < S < G2/M$). HR is nearly absent in G1, most active in the S phase, and declines in G2/M [7, 8, 25, 40]. The overall efficiency of NHEJ is higher than HR at all cell cycle stages. Cells usually utilize error-prone NHEJ as the major DSB repair pathway at all cell cycle stages, while HR is used primarily in the S phase. Reports also suggest key repair players such as CtIP, BRCA1, Ku, and others to impact the choice of DSB repair by controlling the initial events of DSB repair such as DSB end processing/resection [41–45].

For SSBs, different repair processes are utilized. Usually, the intact complementary strand can be used as a template to repair the damaged strand via a variety of repair mechanisms like base excision repair (BER) to repair damage to a single base caused by oxidation, alkylation, hydrolysis, or deamination, nucleotide excision repair (NER) to repair bulky, helix-distorting lesions such as pyrimidine dimers and photo-adducts, and mismatch repair (MMR) to correct errors of DNA replication and recombination which may have resulted in mispaired (but undamaged) nucleotides [5, 7, 8, 23]. Formation of SSBs is closely linked to damaged bases and their attempted repair. It is worth noting that DSBs may form during the attempted repair of SSBs [5, 7, 8, 23]. Interestingly, the chromatin structure may be modulated to facilitate protein recruitment during repair [23]. Modifications of DNA-associated histone proteins maintain genomic stability. Upon the induction of DNA damage, phosphorylation of histones dictates if repair is justified or apoptosis is warranted [23, 46]. It is truly amazing how a cell decides whether to pursue repair and when to abort repair to favor apoptosis.

In this next section, we will discuss various key players in the DNA damage response who also play vital roles in regulating apoptosis.

INTERSECTION BETWEEN DNA DAMAGE RESPONSE AND APOPTOSIS

DNA damage sustained from normal DNA replication/cell processes, stress, mitotic catastrophe, agents such as radiation, toxins, hormones, growth factors, cytokines, and drugs, and reactive oxygen species can induce apoptosis if left unrepaired [4, 5, 7, 8, 23]. Additionally, current DNA damaging agents used in therapies act to overwhelm cellular DNA repair capacity to activate cell death processes. For example, irradiation, a standard treatment modality for a number of cancers such as brain, breast, and prostate, as well as a variety of chemotherapeutic agents induce DNA damage leading to apoptosis [4, 5, 7, 8, 23, 47]. Below, we will discuss several key players that intersect the DNA repair pathways with apoptotic pathways.

P53 and apoptosis

The tumor suppressor protein p53 has been shown to mediate cellular stress responses in that p53 can initiate DNA repair, cell-cycle arrest, senescence and, importantly, apoptosis [34, 36, 38, 40, 48–52]. These responses suppress tumor formation. Thus, it is not surprising that most tumors have p53 mutations [52]. p53 mediates DNA damage response by stimulating the nuclear release of histone H1. Phosphorylation is one of the primary post-translational modifications of p53 and this increases its stability. Various kinases such as ATM, Chk1 and Chk2 are responsible for the phosphorylation of p53. Other post-translational modifications such as acetylation, ubiquitination, methylation, sumoylation, and neddylation also regulates p53 protein stability and transcriptional activation [36, 38, 40, 48, 50, 52]. The E3 ubiquitin ligase MDM2 regulates p53 activity by binding to its N-terminal transactivation domain, thus preventing its interaction with other transcriptional factors. MDM2 also induces nuclear export of p53 and targets it for proteasomal degradation [36, 38, 40, 48, 50, 52]. In event of failed DNA repair p53 initiates apoptosis by transactivating pro-apoptotic proteins such as BAX, BID, PUMA and NOXA which permeabilizes the mitochondrial membrane and leaks the pro-apoptotic factors [36, 38, 40, 48, 50, 52]. p53 stimulates the extrinsic and/or the intrinsic pathway depending on the DNA damage. p53 has been reported to bind to the outer mitochondrial membrane and antagonize the anti-apoptotic function of BCL2 and BCL-XL. p53 represses the activity of BCL2, an anti-apoptotic protein involved in retaining the mitochondrial permeability, as well as survivin. Moreover, p53 also initiates apoptosis via proteins localized on the endoplasmic reticulum and plasma membrane such as DR5. p53 can also exert transcription independent effects on mitochondria membrane permeability by activating the pro-apoptotic protein BAX or by neutralizing the anti-apoptotic proteins BCL2 or BCL-XL [34, 36, 38, 40, 48, 50, 52].

Tumor cells possessing wild type p53 undergo apoptosis to a greater extent following DNA damage than cells that possess mutations in p53. DNA damage can also activate p53-independent apoptosis which may be called upon especially in cases in which p53 are mutated. The p53 homologs p63 and p73 are involved in this response. Unlike mutations in p53, mutations in p73 do not predispose to tumor formation but do have an impact on the DNA damage response. p73 is also often overexpressed in cancer. As mentioned above, in response to DNA lesions, ATM and/or ATR activate CHK1 and CHK2, which in turn activate E2F1 [38]. This in turn stimulates transcription of the p73 gene, increasing the levels of p73 protein. While p53 requires p63 and p73 to activate apoptosis, p73 is pro-apoptotic even in the absence of p53. p73-induced apoptosis is mediated by transcriptional upregulation of PUMA, which in turn induces mitochondrial translocation of BAX and cytochrome c release (discussed above). p73 also induces mitochondrial dysfunction via NOXA. On the other

hand, p63 has the ability to suppress p73-mediated apoptosis [34, 36, 38, 40, 48, 50, 52].

Nuclear factor- κ B (NF- κ B) also has a role in p53-independent apoptosis. This transcription factor is generally anti-apoptotic and promotes survival. Activation of NF- κ B in response to DNA damage is mediated by SUMOylation and ATM-dependent phosphorylation of NEMO (NF- κ B essential modulator). Under some circumstances, however, NF- κ B exhibits pro-apoptotic activity. For instance, presence of excess reactive oxygen species can induce NF κ B-mediated transcription of the FAS ligand, thereby stimulating apoptosis [53]. NF- κ B induces TNF- α production and subsequent receptor-interacting protein 1 (RIP1) autophosphorylation. In association with NEMO, RIP1 kinase promotes JNK3-mediated induction of IL-8 and recruits FADD to activate caspase 8 which then induces apoptosis [54]. p53-independent apoptosis can also be triggered by BCL-2 degradation and GSK3 which is detailed in a later section [55].

BRCA1 and apoptosis

The tumor suppressor BRCA1 plays an integral role in the maintenance of genomic stability and modulates cellular response to DNA damage [56–58]. It is involved in both the major DNA double strand break repair pathways — HR and NHEJ [56]. BRCA1 functions in a variety of cellular processes including chromatin remodeling, protein ubiquitination, DNA replication, DNA repair, regulation of transcription, cell cycle checkpoint control, and apoptosis [56–58]. BRCA1 function is regulated through diverse mechanisms including transcription control, protein-protein interaction, and post-translational modification [49, 56, 58, 59]. BRCA1 is a nuclear-cytoplasmic shuttling protein and its function may be regulated via active shuttling between the cellular compartments [49, 56, 58, 59]. When nuclear, BRCA1 controls high fidelity repair of damaged DNA. In contrast, BRCA1 has been shown to enhance p53-independent apoptosis when cytoplasmic [49, 56, 58, 59]. BRCA1 contains two nuclear localization signals which target it to the nucleus via importin and two nuclear export sequences which transport it to the cytoplasm via the CRM1/exportin pathway [49, 56, 58–60].

As mentioned above, BRCA1 shuttling can also be regulated via protein-protein interaction. The BRCA1-associated RING domain protein (BARD1) has been shown to prevent CRM1 dependent nuclear export of BRCA1 by binding to and masking the BRCA1 NES located at the N-terminal RING domain. On the contrary, the BRCA1 C-terminus (BRCT) domain has been shown to play a crucial role in DNA damage-induced nuclear import of BRCA1 through association with numerous other proteins, including p53, CtIP and BACH [45, 49, 56, 60]. p53 seems to be an important player in DNA damage induced BRCA1 nuclear export since human breast cancer cells with deficiency in p53 function exhibit aberrant BRCA1 shuttling [49]. Mutations that target the BRCT region of BRCA1 have been shown to exclude BRCA1 from the nucleus by blocking nuclear import.

Thus, the critical region responsible for regulating the location of BRCA1 appears to reside in the BRCT domain. Nuclear exclusion of BRCA1 can be therapeutically exploited with poly(ADP-ribose) polymerase (PARP) inhibitors as well as other DNA damaging agents such as cisplatin [49].

In addition to the repair of damaged DNA, BRCA1 plays a role in apoptosis [61–64]. Specifically, overexpression of BRCA1 induces apoptosis and the process has been linked to DNA damage-induced BRCA1 nuclear export and the c-Jun N-terminal kinase pathway [65]. BARD1, which binds and masks the BRCA1 nuclear export sequence to prevent BRCA1 nuclear export, inhibits this BRCA1-mediated apoptosis. Moreover, the apoptotic pathway stimulated by BRCA1 is independent of p53 [56, 59].

BRCA1 has also been reported to be present in the mitochondria where it promotes BCL2 mediated apoptosis. BCL2-mediated targeting of BRCA1 to the endomembranes depletes BRCA1 from the nucleus resulting in decreased HR-mediated repair [66, 67]. In addition, BCL2 expression is low in BRCA1-associated tumors [68].

DNA-dependent protein kinase (DNA PK) and apoptosis

The DNA-dependent protein kinase (DNA PK) plays a critical role in DSB repair and V(D)J recombination [69]. DNA PK plays a central role in the NHEJ pathway for DSB repair in mammalian cells via autophosphorylation events as well as its association with other DNA repair proteins such as BRCA1 and Ku. Ku binds to the DNA end and recruits DNA PK, stabilizing its binding to DNA. This is followed by bridging of the broken ends by DNA PK to facilitate rejoining. DNA-PK also recruits and activates proteins involved in DNA end-processing and ligation. The coordinated assembly of Ku and DNA-PKcs on DNA ends is followed by recruitment of the DNA ligase IV–XRCC4 complex that is responsible for the rejoining step [5, 7, 8, 23, 69].

DNA PK is present at the telomere and cap chromosome ends, protecting them telomere and preventing chromosome end-to-end fusions. This interaction with telomerase helps to maintain telomere length as well [70]. Recently, it was reported that poly(ADP-ribose) polymerase 1 (PARP1) interacts genetically with the DNA PK catalytic subunit to prevent cancer (lymphoma) by suppressing p53 mutation and telomere fusions [71]. The role of PARP in DNA repair and apoptosis is discussed in a subsequent section.

DNA PK also plays a crucial role in triggering apoptosis in response to severe DNA damage or critically shortened telomeres [70, 72, 73]. The ability to trigger apoptosis in the presence of unresolved DNA damage is critical for preventing progression to cancer. In response to DNA damage, DNA PK phosphorylates p53 and triggers p53-dependent apoptosis. Conversely, DNA PK undergoes proteasomal degradation later on in the apoptotic process which aids to suppress pro-survival signals [70]. The Ku70 subunit of DNA-PK has been shown to suppress apoptosis by sequestering

Bax from mitochondria [74]. Increased acetylation of cytoplasmic Ku70 disrupts the Ku70-Bax interaction augmenting apoptosis [75].

PARP and apoptosis

PARP is a family of proteins involved in a number of cellular processes including DNA repair and apoptosis [76]. PARP is predominantly located in the nucleus where it promotes BER-mediated DNA single strand break repair by binding to the DNA and inducing a structural modification [77]. It also induces the synthesis of poly(ADP-ribose) (PAR) chains which acts as a signal for other DNA repair proteins. An early transient burst of poly(ADP-ribosylation) (PARylation) of nuclear proteins followed by caspase-3 mediated cleavage of PARP is required for apoptosis to proceed [8, 76–81]. This inactivation of PARP prevents depletion of NAD (a PARP substrate) and ATP, which are required for later events in apoptosis. PARylation plays diverse roles in many molecular and cellular processes, including DNA damage detection and repair, chromatin modification, transcription, cell death pathways, and mitotic apparatus function [76, 77, 79–81]. These processes are critical for genome maintenance, carcinogenesis, aging, inflammation, and neuronal function [8, 76–82].

PARP-1 interacts physically and functionally with various proteins involved in these DNA repair pathways, and recruits the repair proteins to sites of DNA damage such as XRCC-1 in BER and DNA PK in NHEJ-mediated repair. PAR, as covalent attachment of automodified PARP-1 and PARP-2, acts to recruit repair proteins to sites of DNA damage [8, 71, 76–78, 81, 82].

PARP dependent SSB repair and BRCA1- and BRCA2-dependent DSB repair has been exploited for cancer therapy [6–8, 78, 83]. As mentioned above, BRCA1 and BRCA2 are tumor-suppressor proteins important for DSB repair by HR, and mutation of the genes encoding these proteins causes predisposition to breast and ovarian cancers. PARP inhibitors have shown promising results in BRCA deficient tumors and other DNA repair deficient tumors in clinical trials when combined with other cytotoxic agents [83–87].

We and others have recently reported that the PARP inhibition induces apoptosis in a variety of cell types. We have shown that in conjunction with EGFR inhibitors, the PARP inhibitor ABT-888 activates the intrinsic apoptotic pathway as evidenced by cleavage of caspase 3 and 9 [78]. PARP inhibitor treatment also induces phosphorylation of DNA PK and stimulates error-prone NHEJ-mediated repair in HR-deficient cells, resulting in cell death. PARP1 catalytic activity possibly regulates NHEJ in the absence of HR and thus, deregulated NHEJ may explain the exquisite cytotoxicity of HR deficient cells to PARP inhibitors [78, 88].

PARP1 inhibitor induces caspase-independent cell death as well. It causes mitochondrial depolarization, mitochondrial permeability transition and mitochondrial release of AIF which, upon release from the mitochondria, translocates into the nucleus, where it triggers nuclear DNA fragmentation [89, 90]. Thus,

PARP inhibitors tilt cell death from necrosis to apoptosis in cancer cells [91].

ATM/ATR and apoptosis

Defects in ATM are associated with cancers such as T-cell pro-lymphocytic leukemia, and B-cell chronic lymphocytic leukemia [6–8, 92]. Defective ATM also predisposes to sporadic colon cancer in tumors with microsatellite instability [92]. Loss of ATM results in hypersensitivity to radiation and defect in cell cycle arrest [92]. ATM is also involved in p73 mediated apoptosis [93]. Radiation induces ATM-dependent c-Abl phosphorylation which then activates p73. Upon commitment to apoptosis, caspases (cysteine aspartic acid proteases) are activated in a proteolytic cascade and ATM is cleaved by a caspase-3-like apoptotic protease. This generates a truncated ATM protein devoid of kinase activity but still retaining its DNA binding ability. This functions to prevent further DNA repair and propagation of DNA damage signaling [94, 95].

As mentioned before, ATR activates p53 in response to DNA damage by phosphorylating p53 [29, 30, 36, 50]. In response to DNA damage, ATR also phosphorylates and activates Chk1, which in turn phosphorylates p53 and regulates cell cycle progression. ATR also mediates phosphorylation of BRCA1 in response to UV [29, 30, 36, 50]. Thus, these signaling pathways can converge on both p53 and BRCA1 mediated apoptosis.

ATM/ATR also mediates BID phosphorylation, which is required for DNA damage-induced intra-S phase checkpoint [96]. An intact BH3 domain is required for apoptosis but not BID-mediated S phase effects. Thus the two functions may be distinct. BID accumulates in both the nucleus and mitochondria following stress suggesting a possible role in DNA damage response. Furthermore, like ATM and ATR, BID localizes to the chromatin fraction of the nucleus following treatment with DNA-damaging agents [96].

Sirtuins (SIRT) and apoptosis

Sirtuins (SIRT) are a family of histone deacetylases which also has a role in the maintenance of genomic stability [97]. They also possess mono-ribosyltransferase activity [97, 98]. SIRT have been implicated in influencing aging and regulating transcription, apoptosis and stress resistance [99]. For instance, SIRT1 can deacetylate various factors linked to the repair of DNA damage, including the Werner helicase and NBS1 [100–102]. Absence of SIRT results in increased chromosomal aberrations and impaired DNA repair. In addition SIRTs are recruited to sites of DNA breaks following DNA damage to avoid genomic instability [103]. Thus, SIRTs regulate epigenetic silencing and chromatin modification.

SIRT1, the human Sir2 homolog, acts as a negative regulator of the transactivation function of p53 by binding and deacetylating p53, thereby repressing apoptosis induced by DNA damage [104, 105]. Persistent lesions keep PARP in an activated state and the nicotinamide produced by the process inhibits SIRT1. This leads to hyperacetylation and enhanced transactivation of p53 which in turn leads to increase in the transcription of pro-apoptotic genes and subsequent

apoptosis. Besides p53, SIRT1 can regulate other targets linked to cell death, including Ku70, E2F1 and TGF- β signaling [106, 107].

Another SIRT-mediated pro-survival pathway involves the Forkhead box class O (FOXO) transcription factors which control the expression of genes involved in apoptosis such as Fas ligand, Bim, TRAIL, cyclin D, Gadd45, p27/Kip1, Gadd45, MnSOD. Akt phosphorylates FOXO factors in the presence of growth factors [108, 109]. This prevents their nuclear translocation. However, when the growth factor signaling is switched off, FOXOs are located in the nuclei and act as transcription factors. Acetyltransferases, PCAF and p300/CBP mediated acetylation silences the transcription factors. The transcriptional activity of FOXO3 is restored by deacetylation carried out by SIRT1 which leads to resumption of gene transcription including DNA damage checkpoint genes. This increases the ability of FOXO to induce cell cycle arrest and resist oxidative stress [97–99, 106–109]. Thus SIRT promotes cell survival via transcriptional regulation. Moreover, the concerted action of SIRT3 and SIRT4 appear to inhibit cell death by maintaining mitochondrial NAD levels following stress [98, 110]. It should be noted that although SIRT predominantly antagonize stress-induced cell death pathways, SIRT1 can also deacetylate components of the NF κ B complex, leading to increased cell death, primarily senescence [98, 111].

Epidermal growth factor receptor (EGFR) and apoptosis

The epidermal growth factor receptor (EGFR) plays an important role in the development and progression of solid tumors. In addition, EGFR activation also mediates resistance to chemotherapy and radiation therapy [78, 88]. EGFR inhibition down-modulates survival pathways and shifts towards the proapoptotic Bcl-2 expression and/or activation [112].

There are multiple inhibitors of EGFR that are currently either used as a standard of care or are in clinical trials. Inhibitors of the tyrosine kinase activity of EGFR compete with ATP for binding to the tyrosine kinase pocket of the receptor. They have significant antitumor activity since the EGFR-TKIs block signaling by both ERK and AKT pathways and induce apoptosis [113]. EGFR mediated apoptosis requires an active kinase but not EGFR autophosphorylation sites, meaning the truncated receptor can generate the apoptotic signal. EGFR can activate Ras and the induction in apoptosis is due to impaired Akt activation. EGFR inhibition induces BIM expression via inhibition of the MEK-ERK pathway and BIM induction plays a key role in EGFR-TKI-induced apoptosis. Research suggests that both the PI3K-AKT-survivin and MEK-ERK-BIM pathways contribute independently to EGFR inhibitor-induced apoptosis [114–116].

The BH3-only protein PUMA (p53 upregulated modulator of apoptosis) plays an essential role in p53-dependent and -independent apoptosis. PUMA mediates apoptosis through the Bcl-2 family proteins Bax/Bak and the mitochondrial pathway [117]. PUMA is also induced by EGFR inhibitors independent of p53. EGFR

inhibitors block phosphorylation of EGFR and inhibit the PI3K/AKT pathway, which leads to increased expression of p73 and its binding to the PUMA promoter and subsequent transactivation [118]. Thus, PUMA functions as a critical mediator of EGFR inhibitor-induced apoptosis, especially in head and neck cancer cells where EGFR inhibitors are widely used. Moreover, p73, p63, and the PI3K/AKT pathway serve as key regulators of PUMA induction after EGFR inhibition [119].

Recent evidence also suggests a key role of EGFR in both major DNA DSB repair pathways. Specifically, EGFR binds and activates DNA PK for NHEJ [120, 121]. Additionally, EGFR inhibitors have been shown to attenuate DNA repair pathways. Interestingly, we recently reported that the EGFR inhibitor cetuximab reduced both NHEJ and HR in head and neck cancer cells and subsequently induced a synthetic lethality with the PARP inhibitor ABT-888 [78]. This enhanced cytotoxicity was associated with activation of the intrinsic apoptotic pathway [78]. This brings forth the possibility that other DNA repair proteins may be involved in the apoptotic response. We are actively investigating this avenue.

Glycogen synthase kinase 3 (GSK3) and apoptosis

Another important player in linking extracellular signals to DNA damage/repair and ultimately apoptosis is the glycogen synthase kinase 3 (GSK3). Phosphorylation of substrates by GSK3 allows it to modulate key processes including cell structure, metabolism, gene expression and apoptosis [122]. GSK3 has the unique capacity to either increase or decrease the apoptotic threshold due to its opposing regulation of the two major apoptotic signaling pathways. GSK3 promotes cell death caused by the mitochondrial intrinsic apoptotic pathway, but inhibits the death receptor-mediated extrinsic apoptotic signaling pathway [123]. GSK3 is involved in the apoptotic response following growth factor withdrawal, inhibition of the PI3K/Akt signaling pathway, DNA damage, ER stress, hypoxia/ischemia, and oxidative stress [123]. Intrinsic apoptotic signaling which is activated by cell damage is promoted by GSK3 by facilitation of signals that cause disruption of mitochondria and by regulation of transcription factors that control the expression of anti- or pro-apoptotic proteins. These transcription factors include p53 which was discussed above and cyclic AMP response element binding protein (CREB) [123, 124]. GSK3 β activity in the nucleus promotes p53-induced expression of Bax in response to DNA damage and inhibits CREB, which can block the CREB-dependent expression of the anti-apoptotic protein Bcl-2 [123]. GSK3 can regulate p53 levels through the phosphorylation of the p53-regulating protein MDM2 as well as by directly interacting with p53 [125]. GSK3 promotes p53-mediated transcription of specific genes and regulates the intracellular localization of p53 [125]. p53 is also able to activate apoptosis independently of its transcription function by acting directly on mitochondrial proteins, and

GSK3 β binds p53 in the mitochondria, which may contribute to p53-induced apoptosis [126]. In the canonical Wnt signaling pathway, the transcriptional co-activator β -catenin promotes growth and survival, but phosphorylation of β -catenin by GSK3 targets it for proteosomal degradation thereby promoting apoptosis [122]. Activation of Wnt signaling inhibits GSK3 selectively in the Wnt signaling protein complex, causing accumulation of β -catenin and its translocation to the nucleus where it interacts with the TCF/LEF transcription factors to induce expression of pro-survival genes. GSK3 phosphorylates heat shock factor-1 (HSF-1), a pro-survival transcription factor to inhibit its activity, thereby reducing expression of heat shock proteins, an action that can facilitate apoptosis [127]. Since GSK3 is present in the mitochondria as well and there is a spike in GSK3 levels following DNA damage, GSK3 is uniquely positioned to regulate apoptosis.

Pro-apoptotic members of the Bcl-2 family of proteins such as Bax transmit the apoptotic signal to the mitochondria following phosphorylation by GSK3. Stress such as DNA damage induces a conformational change in Bax that promotes its translocation from the cytoplasm to the mitochondria where it can both sequester anti-apoptotic Bcl-2 family proteins and oligomerize within the mitochondrial membrane. This as well as phosphorylation of the voltage-dependent anion channels by GSK3 disrupts the mitochondrial membrane potential and releases apoptotic proteins such as cytochrome c from the mitochondrial intermembrane space into the cytoplasm. Cytochrome c in turn binds to the protein apoptotic protease activating factor-1 (APAF-1), ATP/dATP, and procaspase-9 to form the apoptosome in the cytoplasm. This causes the activation of caspase-9, thereby triggering the activation of the caspase cascade as discussed above [122, 123].

The extrinsic apoptotic pathway entails extracellular ligands stimulating cell-surface death receptors that initiate apoptosis by activating caspase-8, and this early step in extrinsic apoptotic signaling is inhibited by GSK3. Examples of death receptors include p55, Fas, DR4 and DR5. Cellular insults induce receptor homo-trimerization followed by the recruitment of cytoplasmic adaptor and effector proteins which activates the receptor. This complex subsequently binds to the cytoplasmic proteins FADD and procaspase-8 (or procaspase-10) to form the DISC. DISC formation can allow autoactivation of caspase-8, which then leads to the activation of effector caspases, primarily caspases-3, -6, and -7 [122, 123]. This pathway is discussed in detail above. Thus, GSK3 modulates key steps in each of the two major pathways of apoptosis, but in opposite directions.

GSK3 β knockout mice are embryonically lethal due to massive hepatocyte apoptosis, which demonstrates that GSK3 β is an important inhibitor of apoptosis [47, 122, 128]. GSK3 inhibitors promote apoptosis induced by stimulation of DD-containing receptors but provide protection from many other insults that induce apoptosis. For instance, we have previously reported that inhibition

of GSK3 using lithium and other chemical inhibitors selectively kill tumor cells such as gliomas and leukemia but protect normal tissues from radiation-induced toxicity. The mechanism involved enhanced NHEJ mediated DSB repair following IR in normal tissues but not cancer. Since radiation cannot be selectively delivered to cancer cells, it leads to many destructive cellular processes including apoptosis, genomic instability, and autophagy. Cranial irradiation therapy is a standard method for treatment of brain cancer but results in long term neurocognitive deficits, especially in children. Thus, treatment with GSK3 inhibitors may potentially improve the quality of life of cancer patients undergoing radiation treatment. Several clinical trials have been initiated to test the efficacy of lithium in neuroprotection during the treatment of brain tumors but the trials are still at their infancy [47, 122, 128].

It is perplexing that inhibition of GSK3 upregulates DNA repair exclusively in normal cells. A possible explanation may be that GSK3 is already maximally inhibited in the majority of cancer. p53 status may also play a role in determining cellular response to GSK3 inhibition but further research is warranted in this avenue. Our lab is currently investigating the role of GSK3 in DNA damage/repair and how this relates to GSK3-induced neuroprotection.

CONCLUSION

A wide array of key players in the DNA damage response also is also involved in the interplay between cell survival and apoptosis. Further research is necessary to decipher the mechanisms by which cell fate is determined. In this complex network, uncovering these mechanisms may allow for the understanding of certain diseases and the generation of more effective therapies.

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