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On thermal emission of small-sized radiator

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Abstract. Principle feasibility has been considered for a distant identification of a small-sized thermal radiator by means of detecting its thermal radiation. A single small-size radiator is phenomenologically treated within the black body model. It is shown to be possible to obtain a numeral evaluation of the temperature and the size of a small-size radiator through measurement of the thermal radiation fluctuations in case when optical image of the radiator is unavailable.

Keywords: black body, thermal radiation, size-restrictions, fluctuations, variance.

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1. Introduction

Nowaday technology of distant identification of large-size emitters of thermal radiation (TR) has been developed almost perfectly. As regards TR radiators of a very small size (comparable with the wavelength of observation), the problem is accentuated by fundamental optical and technical restrictions.

Analogous problem was previously considered in connection with the spectroscopy of the Moon surface [1]. Thermodynamic analysis of TR inside limiterd-size black body (b.b.) was developed in [2]. Contribution of the size-factor to the b.b. TR spectrum can be approximately taken into account by deducting of three lowest equilibrium modes from their whole number [3]. The size-factor for the small-sized radiator (SSR) of a cubic form appears in the form of the multiplier [2, 3]

$$\left[1 - \frac{\lambda_{obs}^2}{4\langle V \rangle^{2/3}} \right]$$

where λ_{obs} is the observed TR wavelength from the SSR of a cavity mean volume $\langle V \rangle$. The term “small-sized radiator” in our case is determined by the commensurability of the SSR linear size R and the wavelength of TR radiation. For mathematical convenience the b.b. configuration is considered in a form that allows the approximate equality $R \approx \langle V \rangle^{1/3}$.

On the other hand, the possibility seems to be realisable to conjure parameters of the SSR from the data of the TR fluctuations. Regarding the above problem we will employ the “micro quantity of chaos” (MQC) concept [4, 5]. The MQC treated in this paper is a physically defined *intrinsic* micro-parameter $q(F)$ of stochastic system. Being an essential *intrinsic microscopic quantity* $q(F)$ directly determines the variance $\langle \Delta F^2 \rangle$ of a *macroscopic physical quantity* F via its mean value $\langle F \rangle$ in accordance with the known formula

$$\langle \Delta F^2 \rangle = q(F) \langle F \rangle \quad (1)$$

The validity of the $q(F)$ concept as the entirely defined physical quantity has been proved in series of statistical situations e.g. for the ideal gas, thermal black

body radiation, electrical and photocurrents [4,5]. Incontrovertible candidate for this rule is Poisson's statistic of uncorrelated processes. For further calculations we will use the following expressions of the *intrinsic* $q(\text{TR})$ for the black body TR [5]:

$$q(E) = \frac{hC}{\lambda} \cdot (1 + \langle n \rangle) - \text{intrinsic TR energy} \quad (2)$$

$$q(P) = \frac{hC}{\lambda} \cdot (1 + \langle n \rangle) \cdot \Delta v_p - \text{intrinsic TR power}, \quad (3)$$

where $\langle n \rangle = [\exp(hc / \lambda kT) - 1]^{-1}$ is Planck's function or Bose-Einstein statistic distribution, C is the velocity of light, and Δv_p is an *intrinsic* frequency bandwidth within a certain $q(E)$ acts. Determination of Δv_p is a procedure of decisive importance in consideration of SSR TR power. Note, that in our earlier papers the Δv_p quantity was not defined [4, 5].

The approach proposed here is not a rigorous one, this is rather a sketch of the phenomenon. The treatment performed on the base of simplified model [3] of the small size b.b. is intended to reveal the general features of the problem and feasible approaches to the solution.

2. Size-restricted black body thermal emission

2.1. TR energy of a small-size b.b. within a narrow frequency band Δv .

Below we will use the expression for the b.b. TR spectrum in the form based on the supposition that the ratio $|\Delta v/v| = |\Delta \lambda/\lambda|$ is keeping fixed during the measurement of the spectrum. The last eliminates "conflict" situation between reciprocal scales of "v" and "λ" for a specified photon parameters. Thus, the spectral distribution of TR energy of the "small-size black body" of volume $V \approx R^3$ can be written in the form

$$E_V(\lambda) \cong 8\pi \cdot R^3 \frac{hC}{\lambda^4} \left[1 - \frac{\lambda^2}{4R^2} \right] \left(\frac{\Delta \lambda}{\lambda} \right) \langle n \rangle \quad (4)$$

and, the dispersion is (see e.g. [6, 7, 8])

$$\langle \Delta E_V^2 \rangle = \langle E_V(\lambda) \rangle \times \left[\frac{hC}{\lambda} (1 + \langle n \rangle) \right]. \quad (5)$$

At $\lambda_{obs} \ll 2R$ Eqs. (4,5) are transformed into the well known classic form, but when $\lambda_{obs} \rightarrow 2R$ the SSR as the black-body disappears from the field of view.

Note, that the quantities $\langle E_V(\lambda) \rangle$ and $\langle \Delta E_V^2 \rangle$ can not be measured directly. In practice they are determined only through the detected photon power flows exciting a photodetector (PD). To gain further insight it is interesting to consider fluctuations of the photons power flows inside the small-size b.b. cavity.

2.2. SSR TR power within a narrow frequency band Δv

The most general interrelation between energy and power is defined via the ratio (energy/time) \equiv (energy×frequency band). This time (or frequency) interval can be extracted in the evident form by means of expression of TR power via the total TR energy $E_V(\lambda)$, Eq. (4) inside the SSR cavity. In case of an ideal "radiator-detector" path (losses are equal to zero), TR power P_{VD} that excites PD do not depend on physical parameters of this path. Thus the TR photon flow which outflows from area A of a single radiator squarely to its surface, within a small solid angle $\Omega \cong D^2/L^2$, excites an ideal PD (with an area D^2) can be expressed via the total b.b. TR energy $E_V(\lambda)$ as

$$F_{VD} = \frac{E_V(\lambda)}{hC/\lambda} \cdot \left[\frac{C}{2R} \right] \cdot \frac{A}{R^2} \cdot \Omega. \quad (6)$$

It is evident that there is *only one explicit* frequency (time) parameter $\Delta v_p = [C/2R]$ which connects power with the energy (4). We will discuss the value of Δv_p in details later on.

As an example, Fig. 1 exhibits an adequate to Eqs. 4 and 6 normalized spectra of TR photon flows as functions of the radiator size.

As can be seen, the most significant deviation from the classic TR spectrum take place in a longwave region, especially when the wavelength $\lambda \rightarrow 2R$. A general profile of the SSR TR spectral distribution provides initial information about the size of the SSR. For the SSR λ_{max} is shifted towards the shorter wavelength in comparison with large-size radiator or classic b.b. Therefore the temperature displayed by the SSR was higher than actual temperature. Nevertheless, some numeral evaluations can be obtained from the TR spectra.

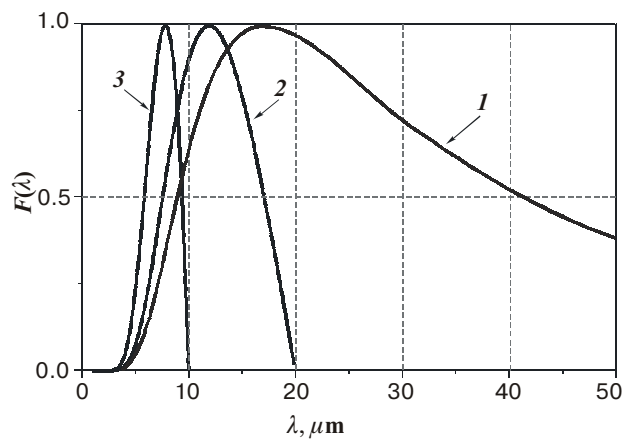


Fig. 1. Normalized spectra of TR photon flows from the radiators of various sizes. $T = 300 \text{ }^\circ\text{K}$. 1 – large-size radiator $R \geq 10 \text{ cm}$; 2 – small-size radiator $R = 10 \text{ }\mu\text{m}$; 3 – small-size radiator $R = 5 \text{ }\mu\text{m}$.

3. TR power fluctuations at the photodetector input

3.1. Bandwidth Δv_p for the SSR TR power inside the cavity.

As the *intrinsic* TR energy $q(E) = \frac{hC}{\lambda} \cdot (1 + \langle n \rangle)$ from Eq. (2) has been firmly established [4, 5] in agreement with universally recognised references [e.g. 6, 7, 8], it seems to be logical to assume the existence of the *intrinsic* quantity $q(P_V)$ that is adequate to the $q(E)$ and related to the b.b. TR power *inside the cavity of volume V*.

The main problem now is how to define the bandwidth Δv_p or proper spectral sampling time T_{tr} which determines $q(P_V)$ via the $q(E)$. This problem arises from the indubitable fact that the optical bandwidth of observation Δv cannot be fasten to the *intrinsic* physical processes inside b.b. cavity. Moreover, it is necessary to emphasise that the use of the bandwidth Δv , (that corresponds to $\Delta \lambda$ in Eq. (6)) in the capacity of the Δv_p from Eq. (3) is not an adequate act. In fact, value of Δv determines number of observed TR modes [6, 7]. The b.b. TR fluctuations under consideration are originated completely *inside the b.b. cavity*, whereas Δv bandwidth is completely *controlled by the external observer*. Recall also, that photons do not interact among themselves outside the cavity [9].

The *intrinsic* $q(E)$ “produces” an adequate “virtual *intrinsic* microscopic power” $q(P_V) = q(E) \cdot \Delta v_p$ within the boundaries of the *intrinsic frequency band* Δv_p . Hence, it seems reasonable to express Δv_p in terms of a *maximum long* time interval T_{tr} during of which the $q(E)$ “dissipates” this virtual *smallest* power $q(P_V)$. If we assume that T_{tr} is equal to the photon one-path transit time through the small-size cavity $T_{tr} = R/C$ and taking into account the well known interconnection between frequency bandwidth and corresponding sampling time in the form of $2\Delta v_p = T_{tr}^{-1}$ [10], evidently, that “internal” time parameter $\Delta v_p = C/2R$ and hence, $q(P_V)$ *inside the b.b. cavity* is determined by the frequency band that coincides with *time parameter* in Eq. (6). Thus, Eq. (3) leads to the following expression of $q(P_V)$ inside the black body cavity:

$$q(P_V) = \frac{hC}{\lambda} \cdot (1 + \langle n \rangle) \cdot \frac{C}{2R}. \quad (7)$$

As the b.b. TR fluctuations are originated completely *inside the b.b. cavity* one may write down the dispersion of P_A out off the large-size b.b. cavity in accordance with Eq. (1) as

$$\langle \Delta P_A^2 \rangle = \langle E \rangle \cdot \frac{C}{2R} \cdot \frac{A}{R^2} \cdot \Omega \cdot \left(\frac{hC}{\lambda} (1 + \langle n \rangle) \cdot \frac{C}{2R} \right) \quad (8)$$

The last equation includes the *intrinsic* power characteristic Eq. (7). In case of a very small radiator and

approximate equality $A \cong R^2$, Eq. (8) transforms in to the dispersion of the TR flow at the PD *input*

$$\langle \Delta F_{AD}^2 \rangle = \frac{\langle E_V \rangle}{hC/\lambda} \cdot \frac{C}{2R} \cdot \Omega \cdot \left((1 + \langle n \rangle) \cdot \frac{C}{2R} \right) \cdot \Omega \quad (9)$$

To realize the above speculations we have to consider a photocurrents at the *output* of the PD.

4. Photodetector currents

We consider TR detection by means of an ideal PD that gives us the chance to deal with only two kinds of noises: steady-state photocurrent shot noise $\langle i_{sn}^2 \rangle$ [11], and chaotic photocurrent $\langle i_{nP}^2 \rangle$ from self-fluctuation of photon flow at the PD input [12]. The latter will be of interest because the $q(P_V)$ (7) depends on the size of the

b.b. cavity via the *unique* time characteristic $\left[\frac{C}{2R} \right]$. Just

this point clears the way to extract the size and the temperature of the SSR from the TR fluctuations.

From the above suppositions the total PD current is given by the sum of the contributions:

1. Steady state mean photocurrent

$$\langle I_D \rangle = e\eta \langle F_{AD} \rangle \quad (10)$$

which is proportional to the mean photon flow striking the PD.

2. *Time dependent stochastic photocurrent oscillations* or the shot noise conditioned by the steady-state photocurrent

$$\langle i_{sn}^2 \rangle = 2e \langle I_D \rangle \Delta f = 2e^2 \eta \langle F_{AD} \rangle \cdot \Delta f \quad (11)$$

where η is the PD efficiency (we will assume it to be equal to unit), e – is the electron charge, Δf is the bandwidth of the PD electronics that measures chaotic photocurrent.

3. *Time depending chaotic photocurrent from self-fluctuation of the photon flow at the PD input:*

This component is expressed via the dispersion of $\langle F_{AD} \rangle$, i.e. Eq. (9), as [12]

$$\langle i_{nP}^2 \rangle = e^2 \times \langle \Delta F_{AD}^2 \rangle \cdot \left(2 \frac{\Delta f}{\Delta v} \right) \quad (12)$$

The multiplier $(2\Delta f/\Delta v)$ in Eq.(12) appears as the result of detailed analysis of the spectral density of the output chaotic PD-current [12] and follow-up integrating of this spectrum to calculate the dispersion connected with the second noise component $\langle \Delta F_{AD}^2 \rangle$. Speaking in images, this multiplier is part of the input power fluctuation which is really registered within the bandwidth Δf of the PD output chaotic current. Taking into account Eqs. (11) and (12) the summarised dispersion of the output currents is given by

$$\langle I_{PD}^2 \rangle = 2e^2 \langle F_{VD} \rangle \cdot \Delta f + e \cdot \langle F_{VD} \rangle \times \left((1 + \langle n \rangle) \frac{C}{2R} \right) \Omega \cdot \left(2 \frac{\Delta f}{\Delta \nu} \right) \quad (13)$$

Then, simple algebra leads to the expression of the total quantity of photocurrent MQC

$$q_{tot} = \frac{\langle I_{PD}^2 \rangle}{\langle I_D \rangle} = 2e\Delta f \times \left[1 + \left(\frac{\exp(hC/\lambda kT)}{\exp(hC/\lambda kT) - 1} \cdot \left(\frac{\lambda}{\Delta \lambda} \right) \cdot \frac{\lambda}{2R} \cdot \Omega \right) \right] \quad (14)$$

Determination of size and temperature of the SSR in general is somewhat cumbersome but quite obtainable. This approach comprises measurements of q_{tot} at a two different wavelengths $\lambda_{1,2}$ to obtain corresponding variants of Eq.(14). These measurements lead to a pair of quantities, Q_1 and Q_2 , from which one can get the solvable relations between the directly measured data $q_{1,2}$, predetermined, known quantities $2e\Delta f$, $\frac{\Delta \lambda}{\lambda}$ and unknown desired quantities $X=2R$ and T :

$$Q_{1,2} = \left[\left(\frac{q_{1,2}}{2e\Delta f} - 1 \right) \cdot \frac{\Delta \lambda}{\lambda} \right] = \left(\frac{\lambda_{1,2}}{X} \right) \cdot \left(\frac{\exp \frac{1.44}{\lambda_{1,2} \cdot T}}{\exp \frac{1.44}{\lambda_{1,2} \cdot T} - 1} \right) \quad (15)$$

These expressions for Q_1 and Q_2 can be re-arranged in the form

$$\exp \frac{1.44}{\lambda_{1,2} \cdot T} = \frac{Q_{1,2}}{Q_{1,2} - \frac{\lambda_{1,2}}{X}} \quad (16)$$

that allows to exclude the SSR temperature by means of taking the logarithm with follow-up dividing of the results. Denoting $\lambda_2/\lambda_1 = m$ we come to the next form of the solvable equation

$$m \cdot \ln \frac{X}{\left(X - \frac{\lambda_2}{Q_2} \right)} = \ln \frac{X}{X - \frac{\lambda_1}{Q_1}} \quad (17)$$

Exponentiating Eq. (17) and by using the binomial formula one obtains the polynomial of “ $m - 1$ ” degree with respect to X :

$$X^{m-1} + \frac{m(m-1)}{2!} X^{m-2} \times \left(\frac{\lambda_2}{Q_2} \right)^2 - \frac{m(m-1)(m-2)}{3!} X^{m-3} \times \left[\left(\frac{\lambda_1}{Q_1} \right)^{-m} \left(\frac{\lambda_2}{Q_2} \right) \right]$$

$$\times \frac{\left(\frac{\lambda_2}{Q_2} \right)^3}{\left[\left(\frac{\lambda_1}{Q_1} \right)^{-m} \left(\frac{\lambda_2}{Q_2} \right) \right]} + \dots + (-1)^n \cdot \frac{m(m-1) \dots (m-n+1)}{n!} \times \left[\left(\frac{\lambda_1}{Q_1} \right)^{-m} \left(\frac{\lambda_2}{Q_2} \right) \right]^{n-1} \times X^{m-n} \cdot \frac{\left(\frac{\lambda_2}{Q_2} \right)^n}{\left[\left(\frac{\lambda_1}{Q_1} \right)^{-m} \left(\frac{\lambda_2}{Q_2} \right) \right]} + \dots + (-1)^m \cdot \frac{\left(\frac{\lambda_2}{Q_2} \right)^m}{\left[\left(\frac{\lambda_1}{Q_1} \right)^{-m} \left(\frac{\lambda_2}{Q_2} \right) \right]} = 0 \quad (18)$$

The polynomial (18) is solvable by the standard method [13, 14].

As example the graphic representation of Eq. (18) solutions $\Psi(R)$ for three different values of ratio m and various temperatures are depicted in Fig. 2.

The exact match of the solutions for the given values m take place at a maximum magnitudes of roots $R = 10 \mu\text{m}$. As size R has been evaluated from Eq. (18) one can turn back to Eq. (16) to calculate the SSR temperature T .

Note, that the refinement of the problem in case of TR emission by a material substance but not a b.b. can be realized using formulas from e.g., Ref.[6].

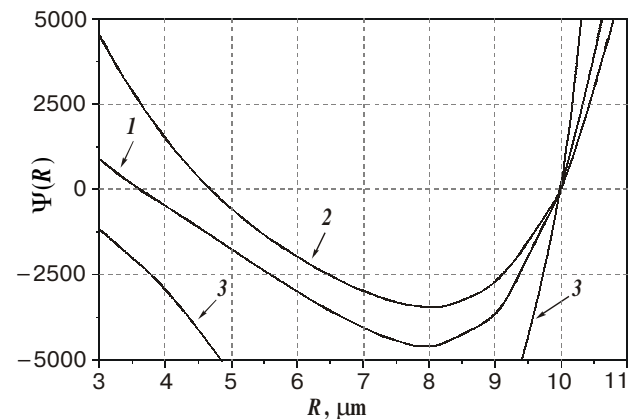


Fig. 2. Graphic solution of Eq. (18) for three different values of ratio m . Predetermined size of SSR – $R = 10 \mu\text{m}$. 1 – $m_1 = 10/2$, $T = 1000 \text{ }^\circ\text{K}$, 2 – $m_2 = 5/1$, $T = 1000 \text{ }^\circ\text{K}$, 3 – $m_3 = 15/3$, $T = 3000 \text{ }^\circ\text{K}$.

3. Conclusion

In the frames of the above phenomenological consideration the concept of TR dispersion gains certain cognitive contents being directly connected with the physical micro-parameters of radiating system. Especially attractive appears possibility to obtain the SSR parameters via TR fluctuation in case when optical image of the radiator is unavailable.

Moreover we would like to stress a few points:

1) The spectral distribution of the TR provides an approximate data about the SSR size (Fig. 1). This information allows to discriminate a large-size solid TR radiator from a large-size, dense cloud of SSRs.

2) In case of a single SSR simultaneous measurement of $\langle I_D \rangle$ Eq. (10), $\langle \Delta i_{sn}^2 \rangle$ Eq. (11) and $\langle i_{nP}^2 \rangle$ Eq. (12) determine the value of q_{tot} which is directly connected with the size and the temperature of radiator. It is necessary to underline the ultimate simplicity of this connection (Eqs.(7), (14)).

3) Measurement of the same quantities $\langle I_D \rangle$, $\langle \Delta i_{sn}^2 \rangle$ and $\langle i_{nP}^2 \rangle$ for a single SSR at two different wavelengths make it possible to determine the temperature and the size of the radiator by means of solving Eq.(18). It is a substantial fact that the exponent of the polynomial Eq.(18) power $m = \lambda_2/\lambda_1$ can be chosen by observer in connection with the concrete situation and results can be tested via picking a new value of m .

4) In practice there are probably a number of opportunities to apply the MQC concept [4,5] (in our case it is q_{tot}) to convert a "noisy glitch" into a "source of an addition information about the internal properties of the stochastic physical system".

The above approach is valid if only one deals with a single SSR, e.g. element of a large scale integrated circuit(LSIC). But in case of natural aggregation of the SSRs, to derive the dispersion of TR power it is necessary to take into account fluctuation of the SSR number N_S within the observation field of view Ω_D . This analysis will be performed in our next paper.

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