

## Complete Hypersurfaces in a Real Space Form

Shu Shichang

*Department of Mathematics, Xianyang Teachers University  
Xianyang, 712000, Shaanxi, Peoples Republic of China*

E-mail: shushichang@126.com

Received February 24, 2007

Let  $M^n$  be an  $n$ -dimensional complete hypersurface with the scalar curvature  $n(n-1)R$  and the mean curvature  $H$  being linearly related, that is,  $n(n-1)R = k'H$  ( $k' > 0$ ) in a real space form  $R^{n+1}(c)$ . Assume that the mean curvature is positive and obtains its maximum on  $M^n$ . We show that (1) if  $c = 1, k' \geq 2n\sqrt{n(n-1)}$ , for any  $i, \sum_{j \neq i} \lambda_j^2 > n(n-1)$  and  $|h|^2 \leq nH^2 + (B_H^+)^2$ , then  $M^n$  is totally umbilical, or (i)  $n \geq 3, M^n$  is locally an  $H(r)$ -torus with  $r^2 < \frac{n-1}{n}$ , (ii)  $n = 2, M^n$  is locally an  $H(r)$ -torus with  $r^2 \neq \frac{n-1}{n}$ ; (2) if  $c = 0$  and  $|h|^2 \leq nH^2 + (\tilde{B}_H^+)^2$ , then  $M^n$  is isometric to a standard round sphere, a hyperplane  $R^n$  or  $S^{n-1}(c_1) \times R^1$ ; (3) if  $c = -1$  and  $|h|^2 \leq nH^2 + (\hat{B}_H^+)^2$ , then  $M^n$  is totally umbilical or is isometric to  $S^{n-1}(r) \times H^1(-1/(r^2+1))$  for some  $r > 0$ , where  $|h|^2$  denotes the squared norm of the second fundamental form of  $M^n, B_H^+, \tilde{B}_H^+$  and  $\hat{B}_H^+$  are denoted by (1.1), (1.2) and (1.3).

*Key words:* hypersurface, mean curvature, scalar curvature, real space form.

*Mathematics Subject Classification 2000:* 53C40 (primary); 53C20 (secondary).

### 1. Introduction

Let  $R^{n+1}(c)$  be an  $(n+1)$ -dimensional connected Riemannian manifold with constant sectional curvature  $c$ . We also call it a real space form. According to  $c > 0, c = 0$  or  $c < 0$ , it is called sphere space, Euclidean space or hyperbolic space, respectively, and it is denoted by  $S^{n+1}(c), R^{n+1}$  and  $H^{n+1}(c)$ . As it is well-known that there are many rigidity results for hypersurfaces with constant mean curvature or with constant scalar curvature in  $S^{n+1}(c), R^{n+1}$  and  $H^{n+1}(c)$ , for example, see [1–3, 5] and [12] etc., but fewer ones are obtained for hypersurfaces

---

This work is supported in part by the Natural Science Foundation of China and NSF of Shaanxi, China.

with the scalar curvature and the mean curvature being linearly related. We know that an  $H(r)$ -torus in a unit sphere  $S^{n+1}(1)$  is the product immersion  $S^{n-1}(r) \times S^1(\sqrt{1-r^2}) \hookrightarrow R^n \times R^2$ , where  $S^{n-1}(r) \subset R^n$ ,  $S^1(\sqrt{1-r^2}) \subset R^2$ ,  $0 < r < 1$ , are standard immersions. In some orientation,  $H(r)$ -torus has principal curvatures given by  $\lambda_1 = \dots = \lambda_{n-1} = \frac{\sqrt{1-r^2}}{r}$  and  $\lambda_n = -\frac{r}{\sqrt{1-r^2}}$ .

In [12], the authors obtained the following:

**Theorem 1.1.** *Let  $M^n$  be an  $n$ -dimensional complete hypersurface with constant mean curvature  $H$  in a unit sphere  $S^{n+1}(1)$ . (1) If  $|h|^2 < D'(n, H)$ , then  $M^n$  is totally umbilical. (2) If  $|h|^2 = D'(n, H)$ , then (i) when  $H = 0$ ,  $M^n$  is locally a Clifford torus; (ii) when  $H \neq 0$ ,  $n \geq 3$ ,  $M^n$  is locally an  $H(r)$ -torus with  $r^2 < \frac{n-1}{n}$ ; (iii) when  $H \neq 0$ ,  $n = 2$ ,  $M^n$  is locally an  $H(r)$ -torus with  $r^2 \neq \frac{n-1}{n}$ , where*

$$D'(n, H) = n + \frac{n^3 H^2}{2(n-1)} - \frac{(n-2)nH}{2(n-1)} [n^2 H^2 + 4(n-1)]^{\frac{1}{2}}.$$

In [6], S.Y. Cheng and S.T. Yau obtained the following:

**Theorem 1.2.** *Let  $M^n$  be a complete hypersurface with constant mean curvature in  $R^{n+1}$ . If the sectional curvature of  $M^n$  is nonnegative, then  $M^n$  is isometric to a standard round sphere, a hyperplane  $R^n$  or a Riemannian product  $S^k(c_1) \times R^{n-k}$ ,  $1 \leq k \leq n-1$ .*

In this paper, we study the hypersurfaces in a real space form  $R^{n+1}(c)$  with scalar curvature  $n(n-1)R$  and the mean curvature  $H$  being linearly related. We obtain the following theorems:

**Theorem 1.3.** *Let  $M^n$  be an  $n$ -dimensional complete hypersurface with  $n(n-1)R = k'H$  in a unit sphere  $S^{n+1}(1)$ , where  $k'(\geq 2n\sqrt{n(n-1)})$  is a positive constant. Assume that the mean curvature  $H$  is positive and obtains its maximum on  $M^n$  and for any  $i$ ,  $\sum_{j \neq i} \lambda_j^2 > n(n-1)$ , where  $\lambda_j (j = 1, \dots, i-1, i+1, \dots, n)$  are the principal curvatures on  $M^n$ . If the squared norm of the second fundamental form  $|h|^2$  satisfies*

$$|h|^2 \leq nH^2 + (B_H^+)^2$$

*on  $M^n$ , then  $M^n$  is totally umbilical, or (i)  $n \geq 3$ ,  $M^n$  is locally an  $H(r)$ -torus with  $r^2 < \frac{n-1}{n}$ ; (ii)  $n = 2$ ,  $M^n$  is locally an  $H(r)$ -torus with  $r^2 \neq \frac{n-1}{n}$ , where*

$$B_H^+ = -\frac{1}{2}(n-2)\sqrt{\frac{n}{n-1}}H + \sqrt{\frac{n^3 H^2}{4(n-1)}} + n. \tag{1.1}$$

**Theorem 1.4.** *Let  $M^n$  be an  $n$ -dimensional complete hypersurface with  $n(n-1)R = k'H$  in a Euclidean space  $R^{n+1}$ , where  $k'$  is a positive constant. Assume*

that the mean curvature  $H$  is positive and obtains its maximum on  $M^n$ . If the squared norm of the second fundamental form  $|h|^2$  satisfies

$$|h|^2 \leq nH^2 + (\tilde{B}_H^+)^2$$

on  $M^n$ , then  $M^n$  is isometric to a standard round sphere, a hyperplane  $R^n$  or a Riemannian product  $S^{n-1}(c_1) \times R^1$ , where

$$\tilde{B}_H^+ = \sqrt{\frac{n}{n-1}}H. \tag{1.2}$$

**Theorem 1.5.** *Let  $M^n$  be an  $n$ -dimensional complete hypersurface with  $n(n-1)R = k'H$  in a hyperbolic space  $H^{n+1}(-1)$ , where  $k'$  is a positive constant. Assume that the mean curvature  $H$  is positive and obtains its maximum on  $M^n$ . If the squared norm of the second fundamental form  $|h|^2$  satisfies*

$$|h|^2 \leq nH^2 + (\hat{B}_H^+)^2$$

on  $M^n$ , then  $M^n$  is totally umbilical or is isometric to  $S^{n-1}(r) \times H^1(-1/(r^2+1))$  for some  $r > 0$ , where

$$\hat{B}_H^+ = -\frac{1}{2}(n-2)\sqrt{\frac{n}{n-1}}H + \sqrt{\frac{n^3H^2}{4(n-1)} - n}, \quad (n^2H^2 \geq 4(n-1)). \tag{1.3}$$

## 2. Preliminaries

Let  $M^n$  be an  $n$ -dimensional hypersurface in  $R^{n+1}(c)$ . For any  $p \in M^n$  we choose a local orthonormal frame  $e_1, \dots, e_n, e_{n+1}$  in  $R^{n+1}(c)$  around  $p$  such that  $e_1, \dots, e_n$  are tangential to  $M^n$ . Take the corresponding dual co-frame  $\{\omega_1, \dots, \omega_n, \omega_{n+1}\}$ . In this paper we make the following convention on the range of indices,

$$1 \leq A, B, C \dots \leq n+1; \quad 1 \leq i, j, k, \dots \leq n.$$

The structure equations of  $R^{n+1}(c)$  are

$$\begin{aligned} d\omega_A &= \sum_B \omega_{AB} \wedge \omega_B, \quad \omega_{AB} = -\omega_{BA}, \\ d\omega_{AB} &= \sum_C \omega_{AC} \wedge \omega_{CB} - c\omega_A \wedge \omega_B. \end{aligned}$$

If we denote by the same letters the restrictions of  $\omega_A, \omega_{AB}$  to  $M^n$ , we have

$$d\omega_i = \sum_j \omega_{ij} \wedge \omega_j, \quad \omega_{ij} = -\omega_{ji}, \tag{2.1}$$

$$d\omega_{ij} = \sum_k \omega_{ik} \wedge \omega_{kj} - \frac{1}{2} \sum_{k,l} R_{ijkl} \omega_k \wedge \omega_l, \quad (2.2)$$

where  $R_{ijkl}$  is the curvature tensor of the induced metric on  $M^n$ .

Restricted to  $M^n$ ,  $\omega_{n+1} = 0$ , thus

$$0 = d\omega_{n+1} = \sum_i \omega_{n+1i} \wedge \omega_i, \quad (2.3)$$

and by Cartan's lemma we can write

$$\omega_{in+1} = \sum_j h_{ij} \omega_j, \quad h_{ij} = h_{ji}. \quad (2.4)$$

The quadratic form  $h = \sum_{i,j} h_{ij} \omega_i \otimes \omega_j$  is the second fundamental form of  $M^n$ .

The Gauss equation is

$$R_{ijkl} = c(\delta_{ik}\delta_{jl} - \delta_{il}\delta_{jk}) + h_{ik}h_{jl} - h_{il}h_{jk}, \quad (2.5)$$

$$n(n-1)R = n(n-1)c + n^2H^2 - |h|^2, \quad (2.6)$$

where  $R$  is the normalized scalar curvature,  $H = (1/n) \sum_i h_{ii}$  the mean curvature and  $|h|^2 = \sum_{i,j} h_{ij}^2$  the squared norm of the second fundamental form of  $M^n$ , respectively.

The Codazzi equation and Ricci identity are

$$h_{ijk} = h_{ikj}, \quad (2.7)$$

$$h_{ijkl} - h_{ijlk} = \sum_m h_{mj} R_{mikl} + \sum_m h_{im} R_{mjkl}, \quad (2.8)$$

where the first and the second covariant derivatives of the second fundamental form are defined by

$$\sum_k h_{ijk} \omega_k = dh_{ij} + \sum_k h_{kj} \omega_{ki} + \sum_k h_{ik} \omega_{kj}, \quad (2.9)$$

$$\sum_l h_{ijkl} \omega_l = dh_{ijk} + \sum_m h_{mj} \omega_{mi} + \sum_m h_{im} \omega_{mj} + \sum_m h_{ijm} \omega_{mk}. \quad (2.10)$$

In order to represent our theorems, we need some notations, for details see H.B. Lawson [9] and P.J. Ryan [11]. First we give a description of the real hyperbolic space  $H^{n+1}(c)$  of constant curvature  $c(< 0)$ .

For any two vectors  $x$  and  $y$  in  $R^{n+2}$ , we set

$$g(x, y) = x_1 y_1 + \dots + x_{n+1} y_{n+1} - x_{n+2} y_{n+2},$$

$(R^{n+2}, g)$  is the so-called Minkowski space-time. Denote  $\rho = \sqrt{-1/c}$ . We define

$$H^{n+1}(c) = \{x \in R^{n+2} \mid g(x, x) = -\rho^2, x_{n+2} > 0\}.$$

Then  $H^{n+1}(c)$  is a simply-connected hypersurface of  $R^{n+2}$ . Hence, we obtain a model of a real hyperbolic space.

We define

$$\begin{aligned} M_1 &= \{x \in H^{n+1}(c) \mid x_1 = 0\}, \\ M_2 &= \{x \in H^{n+1}(c) \mid x_1 = r > 0\}, \\ M_3 &= \{x \in H^{n+1}(c) \mid x_{n+2} = x_{n+1} + \rho\}, \\ M_4 &= \{x \in H^{n+1}(c) \mid x_1^2 + \dots + x_{n+1}^2 = r^2 > 0\}, \\ M_5 &= \{x \in H^{n+1}(c) \mid x_1^2 + \dots + x_{k+1}^2 = r^2 > 0, \\ &\quad x_{k+2}^2 + \dots + x_{n+1}^2 - x_{n+2}^2 = -\rho^2 - r^2\}. \end{aligned}$$

$M_1, \dots, M_5$  are often called the standard examples of complete hypersurfaces in  $H^{n+1}(c)$  with at most two distinct constant principal curvatures. It is obvious that  $M_1, \dots, M_4$  are totally umbilical. In the sense of Chen [7], they are called the hyperspheres of  $H^{n+1}(c)$ .  $M_3$  is called the horosphere and  $M_4$  — the geodesic distance sphere of  $H^{n+1}(c)$ . P.J. Ryan [11] obtained the following:

**Lemma 2.1([11]).** *Let  $M^n$  be a complete hypersurface in  $H^{n+1}(c)$ . Suppose that, under a suitable choice of a local orthonormal tangent frame field of  $TM^n$ , the shape operator over  $TM^n$  is expressed as a matrix  $A$ . If  $M^n$  has at most two distinct constant principal curvatures, then it is congruent to one of the following:*

(1)  $M_1$ . In this case,  $A = 0$ , and  $M_1$  is totally geodesic. Hence  $M_1$  is isometric to  $H^n(c)$ .

(2)  $M_2$ . In this case,  $A = \frac{1/\rho^2}{\sqrt{1/\rho^2+1/r^2}}I_n$ , where  $I_n$  denotes the identity matrix of degree  $n$ , and  $M_2$  is isometric to  $H^n(-1/(r^2 + \rho^2))$ .

(3)  $M_3$ . In this case,  $A = \frac{1}{\rho}I_n$ , and  $M_3$  is isometric to a Euclidean space  $R^n$ .

(4)  $M_4$ . In this case,  $A = \sqrt{1/r^2 + 1/\rho^2}I_n$ ,  $M_4$  is isometric to a round sphere  $S^n(r)$  of radius  $r$ .

(5)  $M_5$ . In this case,  $A = \lambda I_k \oplus \mu I_{n-k}$ , where  $\lambda = \sqrt{1/\rho^2 + 1/r^2}$ , and  $\mu = \frac{1/\rho^2}{\sqrt{1/r^2+1/\rho^2}}$ ,  $M_5$  is isometric to  $S^k(r) \times H^{n-k}(-1/(r^2 + \rho^2))$ .

We also need the following algebraic Lemma due to [10] and [1].

**Lemma 2.2([10, 1]).** *Let  $\mu_i, i = 1, \dots, n$  be real numbers, with  $\sum_i \mu_i = 0$  and  $\sum_i \mu_i^2 = \beta^2 \geq 0$ . Then*

$$-\frac{n-2}{\sqrt{n(n-1)}}\beta^3 \leq \sum_i \mu_i^3 \leq \frac{n-2}{\sqrt{n(n-1)}}\beta^3, \tag{2.11}$$

and equality holds if and only if either  $(n - 1)$  of the numbers  $\mu_i$  are equal to  $\beta/\sqrt{n(n - 1)}$  or  $(n - 1)$  of the numbers  $\mu_i$  are equal to  $-\beta/\sqrt{n(n - 1)}$ .

### 3. Proof of Theorems

In order to prove our theorems, we introduce an operator  $\square$  due to S.Y. Cheng and S.T. Yau [5] by

$$\square f = \sum_{i,j} (nH\delta_{ij} - h_{ij})f_{ij}, \quad (3.1)$$

where  $f$  is a  $C^2$ -function on  $M^n$ , the gradient and Hessian  $(f_{ij})$  are defined by

$$df = \sum_i f_i\omega_i, \quad \sum_j f_{ij}\omega_j = df_i + \sum_j f_j\omega_{ji}. \quad (3.2)$$

The Laplacian of  $f$  is defined by  $\Delta f = \sum_i f_{ii}$ .

We choose a local frame field  $e_1, \dots, e_n$  at each point of  $M^n$ , such that  $h_{ij} = \lambda_i\delta_{ij}$ . From (3.1) and (2.6), we have

$$\begin{aligned} \square(nH) &= nH\Delta(nH) - \sum_i \lambda_i(nH)_{ii} \\ &= \frac{1}{2}\Delta(nH)^2 - \sum_i (nH)_i^2 - \sum_i \lambda_i(nH)_{ii} \\ &= \frac{1}{2}n(n - 1)\Delta R + \frac{1}{2}\Delta|h|^2 - n^2|\nabla H|^2 - \sum_i \lambda_i(nH)_{ii}. \end{aligned} \quad (3.3)$$

From (2.7) and (2.8), by a standard and direct calculation, we have

$$\frac{1}{2}\Delta|h|^2 = \sum_{i,j,k} h_{ijk}^2 + \sum_i \lambda_i(nH)_{ii} + \frac{1}{2}\sum_{i,j} R_{ijij}(\lambda_i - \lambda_j)^2, \quad (3.4)$$

where  $R_{ijij} = c + \lambda_i\lambda_j$  ( $i \neq j$ ) denotes the sectional curvature of the section spanned by  $\{e_i, e_j\}$ .

From (3.3) and (3.4), we get

$$\square(nH) = \frac{1}{2}n(n - 1)\Delta R + |\nabla h|^2 - n^2|\nabla H|^2 + \frac{1}{2}\sum_{i,j} (c + \lambda_i\lambda_j)(\lambda_i - \lambda_j)^2. \quad (3.5)$$

By making use of the similar method in [4], we can prove the following:

**Proposition 3.1.** *Let  $M^n$  be an  $n$ -dimensional hypersurface in a real space form  $R^{n+1}(c)$  with  $n(n - 1)R = k'H$ ,  $k' = \text{constant} > 0$ . Assume that the mean curvature  $H > 0$ . Then we have the operator*

$$L = \square - (k'/2n)\Delta$$

- (1) if  $c > 0$  and for any  $i$ ,  $\sum_{j \neq i} \lambda_j^2 > n(n-1)c$ ,  $L$  is elliptic;  
 (2) if  $c \leq 0$ ,  $L$  is elliptic.

*P r o o f.* We choose an orthonormal frame field  $\{e_j\}$  at each point in  $M^n$  so that  $h_{ij} = \lambda_i \delta_{ij}$ . For any  $i$ ,

$$\begin{aligned}
 (nH - \lambda_i - k'/2n) &= \sum_j \lambda_j - \lambda_i - (1/2)[- \sum_j \lambda_j^2 + n^2 H^2 + n(n-1)c]/(nH) \\
 &= [(\sum_j \lambda_j)^2 - \lambda_i \sum_j \lambda_j - (1/2) \sum_{l \neq j} \lambda_l \lambda_j - (1/2)n(n-1)c](nH)^{-1} \\
 &= [\sum_j \lambda_j^2 + (1/2) \sum_{l \neq j} \lambda_l \lambda_j - \lambda_i \sum_j \lambda_j - (1/2)n(n-1)c](nH)^{-1} \\
 &= [\sum_{i \neq j} \lambda_j^2 + (1/2) \sum_{\substack{l \neq j \\ l, j \neq i}} \lambda_l \lambda_j - (1/2)n(n-1)c](nH)^{-1} \\
 &= (1/2)[\sum_{j \neq i} \lambda_j^2 + (\sum_{j \neq i} \lambda_j)^2 - n(n-1)c](nH)^{-1}. \tag{3.6}
 \end{aligned}$$

- (1) If  $c > 0$  and for any  $i$ ,  $\sum_{j \neq i} \lambda_j^2 > n(n-1)c$ , from (3.6), we have

$$(nH - \lambda_i - k'/2n) \geq (1/2)[\sum_{j \neq i} \lambda_j^2 - n(n-1)c](nH)^{-1} > 0.$$

Therefore, we know that  $L$  is an elliptic operator.

- (2) If  $c \leq 0$ , from (3.6) again, we have

$$(nH - \lambda_i - k'/2n) > 0.$$

Therefore, we also know that  $L$  is an elliptic operator. This completes the proof of Prop. 3.1.

We can also prove the following:

**Proposition 3.2.** *Let  $M^n$  be an  $n$ -dimensional hypersurface in a real space form  $R^{n+1}(c)$  with  $n(n-1)R = k'H$ ,  $k' = \text{constant} > 0$ . Assume that the mean curvature  $H > 0$ . Then we have:*

- (1) if  $c > 0$  and  $k' \geq 2n\sqrt{n(n-1)c}$ , then

$$|\nabla h|^2 \geq n^2 |\nabla H|^2;$$

- (2) if  $c \leq 0$ , for all  $k' > 0$ , then

$$|\nabla h|^2 \geq n^2 |\nabla H|^2.$$

*P r o o f.* Since  $H > 0$ , we have  $|h|^2 \neq 0$ . In fact, if  $|h|^2 = \sum_i \lambda_i^2 = 0$  at a point of  $M^n$ , then  $\lambda_i = 0, i = 1, 2, \dots, n$ , at this point. Therefore  $H = 0$  at this point. This is impossible.

From (2.6) and  $n(n - 1)R = k'H$ , we have

$$\begin{aligned} k'\nabla_i H &= 2n^2 H \nabla_i H - 2 \sum_{j,k} h_{kj} h_{kji}, \\ (\frac{1}{2}k' - n^2 H)\nabla_i H &= - \sum_{j,k} h_{kj} h_{kji}, \\ (\frac{1}{2}k' - n^2 H)^2 |\nabla H|^2 &= \sum_i (\sum_{j,k} h_{kj} h_{kji})^2 \leq \sum_{i,j} h_{ij}^2 \sum_{i,j,k} h_{ijk}^2 = |h|^2 |\nabla h|^2. \end{aligned}$$

Therefore, we have

$$\begin{aligned} |\nabla h|^2 - n^2 |\nabla H|^2 &\geq [(\frac{k'}{2} - n^2 H)^2 - n^2 |h|^2] |\nabla H|^2 \frac{1}{|h|^2} \\ &= [\frac{(k')^2}{4} - n^3(n - 1)c] |\nabla H|^2 \frac{1}{|h|^2}. \end{aligned} \tag{3.7}$$

(1) If  $c > 0$  and  $k' \geq 2n\sqrt{n(n - 1)c}$ , from (3.7), we have

$$|\nabla h|^2 - n^2 |\nabla H|^2 \geq 0.$$

(2) If  $c \leq 0$ , from (3.7), we also have

$$|\nabla h|^2 - n^2 |\nabla H|^2 \geq 0.$$

This completes the proof of Prop. 3.2.

**Proposition 3.3.** *Let  $M^n$  be an  $n$ -dimensional hypersurface in a real space form  $R^{n+1}(c)$  with  $n(n - 1)R = k'H$ ,  $k' = \text{constant} > 0$ . Then we have*

$$nLH \geq (|\nabla h|^2 - n^2 |\nabla H|^2) + |g|^2 \{nc + nH^2 - \frac{n(n - 2)}{\sqrt{n(n - 1)}} |H||g| - |g|^2\},$$

where  $|g|^2$  is a nonnegative  $C^2$ -function on  $M^n$  defined by  $|g|^2 = |h|^2 - nH^2$ .

*P r o o f.* From (3.5) we have

$$\begin{aligned} nLH &= n[\square H - (k'/2n)\Delta H] \\ &= \square(nH) - (1/2)\Delta[n(n - 1)R] \\ &= |\nabla h|^2 - n^2 |\nabla H|^2 + \frac{1}{2} \sum_{i,j} (c + \lambda_i \lambda_j)(\lambda_i - \lambda_j)^2 \\ &= |\nabla h|^2 - n^2 |\nabla H|^2 + nc|h|^2 - n^2 H^2 c - |h|^4 + nH \sum_i \lambda_i^3. \end{aligned} \tag{3.8}$$



Let  $|g|^2$  be a nonnegative  $C^2$ -function on  $M^n$  defined by  $|g|^2 = |h|^2 - nH^2$ . Since  $\sum_i (H - \lambda_i) = 0$ ,  $\sum_i (H - \lambda_i)^2 = |h|^2 - nH^2 = |g|^2$ , by Lem. 2.2 we get

$$\begin{aligned} nH \sum_i \lambda_i^3 &= 3nH^2|h|^2 - 2n^2H^4 - nH \sum_i (H - \lambda_i)^3 \\ &\geq 3nH^2|g|^2 + n^2H^4 - n|H| \frac{n-2}{\sqrt{n(n-1)}}|g|^3. \end{aligned} \quad (3.9)$$

Therefore, from (3.8) and (3.9), we have

$$nLH \geq |\nabla h|^2 - n^2|\nabla H|^2 + |g|^2 \left\{ nc + nH^2 - \frac{n(n-2)}{\sqrt{n(n-1)}}|H||g| - |g|^2 \right\}.$$

This completes the proof of Prop. 3.3.

**P r o o f o f T h e o r e m 1.3.** From the assumption of Th. 1.3, Prop. 3.2 and Prop. 3.3 for  $c = 1$ , we have

$$nLH \geq |g|^2 \left\{ n + nH^2 - \frac{n(n-2)}{\sqrt{n(n-1)}}H|g| - |g|^2 \right\} = |g|^2 P_H(|g|), \quad (3.10)$$

where

$$P_H(|g|) = n + nH^2 - \frac{n(n-2)}{\sqrt{n(n-1)}}H|g| - |g|^2.$$

$P_H(|g|)$  has two real roots  $B_H^-$  and  $B_H^+$  given by

$$B_H^\pm = -\frac{1}{2}(n-2)\sqrt{\frac{n}{n-1}}H \pm \sqrt{\frac{n^3H^2}{4(n-1)} + n}.$$

Therefore, we know that

$$P_H(|g|) = (|g| - B_H^-)(-|g| + B_H^+).$$

Clearly, we know that  $|g| - B_H^- > 0$ . From the assumption of Th. 1.3, we infer that  $P_H(|g|) \geq 0$  on  $M^n$ . This implies that the right-hand side of (3.10) is nonnegative. Since, from Prop. 3.1, the operator  $L$  is elliptic, and  $H$  obtains its maximum on  $M^n$ , from (3.10) we know that  $H = \text{const}$  on  $M^n$ . Therefore, we know that  $M^n$  is an  $n$ -dimensional complete hypersurface with constant mean curvature  $H (> 0)$  in a unit sphere  $S^{n+1}(1)$ . By the assumption of Th. 1.3 and the result of Th. 1.1, we can check directly that  $|h|^2 \leq nH^2 + (B_H^+)^2 = n + \frac{n^3H^2}{2(n-1)} - \frac{(n-2)nH}{2(n-1)}[n^2H^2 + 4(n-1)]^{\frac{1}{2}} = D'(n, H)$ . Therefore we have either  $M^n$  is totally umbilical, or (i)  $n \geq 3$ ,  $M^n$  is locally an  $H(r)$ -torus with  $r^2 < \frac{n-1}{n}$ ; (ii)  $n = 2$ ,  $M^n$  is locally an  $H(r)$ -torus with  $r^2 \neq \frac{n-1}{n}$ . This completes the proof of Th. 1.3.

**P r o o f o f T h e o r e m 1.4.** From the assumption of Th. 1.4, Prop. 3.2 and Prop. 3.3, for  $c = 0$ , we have

$$nLH \geq |g|^2 \left\{ nH^2 - \frac{n(n-2)}{\sqrt{n(n-1)}}H|g| - |g|^2 \right\} = |g|^2 Q_H(|g|), \quad (3.11)$$

where

$$Q_H(|g|) = nH^2 - \frac{n(n-2)}{\sqrt{n(n-1)}}H|g| - |g|^2.$$

$Q_H(|g|)$  has two real roots  $\tilde{B}_H^-$  and  $\tilde{B}_H^+$  given by

$$\tilde{B}_H^- = -(n-1)\sqrt{\frac{n}{n-1}}H, \quad \tilde{B}_H^+ = \sqrt{\frac{n}{n-1}}H.$$

Therefore, we know that

$$Q_H(|g|) = (|g| - \tilde{B}_H^-)(-|g| + \tilde{B}_H^+).$$

Clearly, we know that  $|g| - \tilde{B}_H^- > 0$ . From the assumption of Th. 1.4, we infer that  $Q_H(|g|) \geq 0$  on  $M^n$ . This implies that the right-hand side of (3.11) is nonnegative. From Proposition 3.1, we know that  $L$  is elliptic, and  $H$  obtains its maximum on  $M^n$ . From (3.11), we have  $H = \text{const}$  on  $M^n$ . From (3.11) again, we get  $|g|^2 Q_H(|g|) = 0$ . We infer that the equality holds in Lem. 2.2. Therefore, we know that  $(n-1)$  of the numbers  $H - \lambda_i$  are equal to  $|g|/\sqrt{n(n-1)}$ . This implies that  $M^n$  has  $(n-1)$  principal curvatures equal and constant. As  $H$  is constant, the other principal curvature is constant as well. From an inequality of Chen–Okumura [8], we know that  $|h|^2 \leq n^2 H^2 / (n-1)$  implies that the sectional curvature  $K$  of  $M^n$  is nonnegative. Therefore, we know that  $M^n$  is a complete hypersurface in  $R^{n+1}$  with constant mean curvature and nonnegative sectional curvature. From Theorem 1.2, we have either  $M^n$  is isometric to a standard round sphere, a hyperplane  $R^n$  or a Riemannian product  $S^{n-1}(c_1) \times R^1$ . This completes the proof of Th. 1.4.

**P r o o f o f T h e o r e m 1.5.** From the assumption of Th. 1.5, Prop. 3.2 and Prop. 3.3, for  $c = -1$ , we have

$$nLH \geq |g|^2 \left\{ -n + nH^2 - \frac{n(n-2)}{\sqrt{n(n-1)}}H|g| - |g|^2 \right\} = |g|^2 R_H(|g|), \quad (3.12)$$

where

$$R_H(|g|) = -n + nH^2 - \frac{n(n-2)}{\sqrt{n(n-1)}}H|g| - |g|^2.$$

$R_H(|g|)$  has two real roots  $\hat{B}_H^-$  and  $\hat{B}_H^+$  given by

$$\hat{B}_H^\pm = -\frac{1}{2}(n-2)\sqrt{\frac{n}{n-1}}H \pm \sqrt{\frac{n^3 H^2}{4(n-1)} - n}, \quad n^2 H^2 \geq 4(n-1).$$

Therefore, we know that

$$R_H(|g|) = (|g| - \widehat{B}_H^-)(-|g| + \widehat{B}_H^+).$$

Clearly, we know that  $|g| - \widehat{B}_H^- > 0$ . From the assumption of Th. 1.5, we infer that  $R_H(|g|) \geq 0$  on  $M^n$ . This implies that the right-hand side of (3.12) is nonnegative. From Proposition 3.1, we know that  $L$  is elliptic. Since  $H$  obtains its maximum on  $M^n$ , from (3.12), we have  $H = \text{const}$  on  $M^n$ . From (3.12) again, we get  $|g|^2 R_H(|g|) = 0$ , so  $|g|^2 = 0$ , and  $M^n$  is totally umbilical, or  $R_H(|g|) = 0$ . In the latter case, we know that  $(n-1)$  of the numbers  $H - \lambda_i$  are equal to  $|g|/\sqrt{n(n-1)}$ . This implies that  $M^n$  has  $(n-1)$  principal curvatures equal and constant. As  $H$  is constant, the other principal curvature is constant as well, so  $M^n$  is isoparametric. From the result of Lem. 2.1,  $M^n$  is isometric to  $S^{n-1}(r) \times H^1(-1/(r^2 + 1))$  for some  $r > 0$ . This completes the proof of Th. 1.5.

### References

- [1] *H. Alencar and M.P. do Carmo*, Hypersurfaces with Constant Mean Curvature in Sphere. — *Proc. Amer. Math. Soc.* **120** (1994), 1223–1229.
- [2] *Q.M. Cheng*, Complete Hypersurfaces in Euclidean Space  $R^{n+1}$  with Constant Scalar Curvature. — *Indiana Univ. Math. J.* **51** (2002), 53–68.
- [3] *Q.M. Cheng*, Hypersurfaces in a Unit Shere  $S^{n+1}(1)$  with Constant Scalar Curvature. — *J. London Math. Soc.* **64** (2001), 755–768.
- [4] *Q.M. Cheng*, Complete Space-Like Hypersurfaces of a de Sitter Space with  $r = kH$ . — *Mem. Fac. Sci. Kyushu Univ.* **44** (1990), 67–77.
- [5] *S.Y. Cheng and S.T. Yau*, Hypersurfaces with Constant Scalar Curvature. — *Math. Ann.* **225** (1977), 195–204.
- [6] *S.Y. Cheng and S.T. Yau*, Differential Equations on Riemannian Manifolds and their Geometric Applications. — *Comm. Pure Appl. Math.* **28** (1975), 333–354.
- [7] *B.Y. Chen*, Totally Mean Curvature and Submanifolds of Finite Type. World Sci., Singapore, 1984.
- [8] *B.Y. Chen and M. Okumura*, Scalar Curvature, Inequality and Submanifold. — *Proc. Amer. Math. Soc.* **38** (1973), 605–608.
- [9] *H.B. Lawson, Jr.*, Local Rigidity Theorems for Minimal Hypersurfaces. — *Ann. Math.* **89(2)** (1969), 187–197.
- [10] *M. Okumura*, Hypersurfaces and a Pinching Problem on the Second Fundamental Tensor. — *Amer. J. Math.* **96** (1974), 207–213.
- [11] *P.J. Ryan*, Hypersurfaces with Parallel Ricci Tensor. — *Osaka J. Math.* **8** (1971), 251–259.
- [12] *S.C. Shu*, Complete Hypersurfaces with Constant Mean Curvature in Locally Symmetric Manifold. — *Adv. Math. Chinese* **33** (2004), 563–569.